

Legislative Appropriations Request for Fiscal Years 2018 and 2019

Submitted to the
Governor's Office of Budget Planning and Policy
and the Legislative Budget Board

by

Stephen F. Austin State University



**REVISED
October 17, 2016**

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Agency Code:	Agency Name:	Date:
755	Stephen F. Austin State University	October, 2016
<p><i>For the reports identified below, Stephen F. Austin State University either has no information to report or the schedule is not applicable. Accordingly, these reports have been excluded from the Legislative Appropriations Request for the 2018-19 biennium.</i></p>		
Number	Name	
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Administrator's Statement

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Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

Stephen F. Austin State University
General Statement of the President

Overview

Founded in 1923, Stephen F. Austin State University (SFASU) is a comprehensive institution located in Nacogdoches that is dedicated to excellence in teaching, research, scholarship, creative work, and service. Throughout the university, faculty, and staff provide personal attention, engaging our students in a learner-centered environment and offering opportunities to prepare for the challenges of living in the global community. Stephen F. Austin State University benefits the East Texas community and the State of Texas by providing a high quality, affordable education.

SFASU serves students through a variety of undergraduate and graduate programs. Seventy-nine undergraduate programs and 43 Master's programs are available in six colleges (Business, Education, Fine Arts, Forestry and Agriculture, Liberal and Applied Arts, and Sciences and Mathematics). Additionally, SFASU offers three doctoral programs: the Doctor of Philosophy (Ph.D.) in Forestry, and School Psychology as well as the Doctor of Education (Ed.D.) in Educational Leadership. Many degrees offer complete programs or courses via distance education.

Students

Stephen F. Austin State University is proud of its mission as a comprehensive university serving students who are primarily residents of Texas. Ninety-six percent of SFASU students are Texas residents and 90% percent are residents within 200 miles of Nacogdoches. In fact, most students (83%) come from Dallas (24%), East Texas (30%), and Houston (29%).

In fall 2015, SFASU had an enrollment of 12,606 (86% undergraduates and 14% graduates). In the last 10 years, total student enrollment has increased by 850 (7%). SFASU has continued to attract a more diverse population of students. African American and Hispanic student enrollments have increased by 105% (2,231 students) since 2000, when the state's master plan for higher education, Closing the Gaps, was first adopted.

Student Success

Student success is a high priority for Stephen F. Austin State University. Since approximately half of all undergraduate students are first generation college students, SFASU has worked to support student learning and success through a number of initiatives.

In May 2016, Stephen F. Austin State University launched a Student Success Center, which unites a number of academic initiatives designed to promote student success including: the Academic Assistance and Resource Center, SFA 101 freshman seminar, Academic Advising for Undecided Students, Texas Success Initiative, Pathways Bridge Program, and GenJacks (a learning community for first generation students). This new Center supports student success by bringing academic resources together for greater collaboration, communication, and cooperation among faculty, staff, and students in order to provide a "triage of support" to promote student success.

Additionally, SFASU provides support to a unique student population through the Veterans Resource Center. This space provides networking opportunities for veterans and serves as a hub for programming efforts that include connections with other veterans as well as access to veterans' resources provided by the university and outside agencies.

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Since its founding in 1923, SFASU has been a leader in the state in preparation of educators. The James I. Perkins College of Education is the largest college, enrolling 33% of the student population. Graduates of SFASU's teacher preparation programs routinely achieve high educator certification pass rates. Likewise, SFASU has a large enrollment of nursing majors, who have high state licensure pass rates. The Arthur Temple College of Forestry and Agriculture provides an exceptional opportunity for students to study ways in which to enhance the health and vitality of the environment. Faculty research through the College focuses on conservation and the protection of our forests and natural resources including water resources.

Access and Affordability

SFASU is committed to making higher education affordable to its students. In addition to strategically managing resources, SFASU has taken great strides to increase efficiency and contain costs. Financial aid also plays a significant role in making college more affordable to students. In fiscal year 2016, approximately 71% of SFASU students received financial aid, amounting to a total disbursement of \$153.4 million, \$65.8 million in gift aid and \$87.5 million in loan funds. This includes 4,725 students, who received \$19.4 million in Pell Grants.

During fiscal year 2016, SFASU students received over \$8.1 million in Texas Grant awards. Of the total awarded, \$3.8 million was provided to initial recipients and \$4.3 million for returning students' renewals. Additionally, 74 students accessed over \$560,000 in the Texas B-on-Time Loan Program though this program is being phased out.

Stephen F. Austin State University appreciates the sacrifice and service of veterans and their families. In fiscal year 2016, 623 military veterans and their spouses and dependents were enrolled at SFASU. However, the Hazlewood Act tuition and fee exemption for military veterans, their children, and qualifying spouses presents a significant and mounting cost to all Texas institutions of higher education. During the fiscal year 2016, 71 veterans and 552 dependents received a Hazlewood Exemption totaling over \$4.26 million. This represents an increase of 8.03% from the previous year. The General Revenue funds distributed in fiscal years 2014, 2015, and 2016 assisted in covering previous year's Hazlewood exemptions; however, the growing enrollment of those who are eligible for the exemption requires institutions to seek alternative methods for covering the cost of this exemption.

Four Percent General Revenue-related Base Reduction

In order to comply with the Policy Letter directive limiting 2018-2019 baseline requests to 96% of SFASU's 2016-2017 General Revenue Funds and General Revenue-Dedicated Funds expenditures, SFASU carefully considered its priorities and made strategic decisions that align with the university's mission and strategic plan. To this end, the university will reduce Institutional Enhancement funding. This funding has been utilized to add faculty in growing programs, enhance faculty and staff salaries institutionally and to support many of the university's core operations. Removal of this funding would greatly hurt the efforts the university has made in attracting and retaining qualified faculty.

Ten Percent General Revenue-related Base Reduction

Pursuant to LAR instructions, Stephen F. Austin State University is submitting a ten percent general revenue reduction scenario. As a result of past general revenue budget and funding reductions, Stephen F. Austin State University would likely have to reduce its workforce in order to manage another reduction of state appropriations. Past funding reductions have prevented the university from hiring needed academic and student support positions. The ten percent appropriations reduction would adversely impact the university's ability to deliver current academic and student services.

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Exceptional Item Request

STEM Education/Early Childhood Program – SFASU requests \$1,000,000 per year of the biennium to engage faculty in the research, program development, and teacher preparation/professional development necessary to advance Science, Technology, Engineering, and Mathematics (STEM) early childhood education. We intend to develop best practices for the professional development of teachers and rich learning experiences for young children in preschool and kindergarten classrooms that will be sustainable and replicable across the state of Texas.

A vibrant STEM workforce is vital to making Texas globally competitive. Institutions of higher education are being called upon to widen the STEM pipeline at all levels of education. The early childhood years are particularly critical to the development of STEM concepts. Research confirms that the first five years of life account for over 90% of brain development and that the brain is particularly receptive to learning math and logic between the ages of 1 and 4. Nationally, approximately 40% of U.S. children are not ready for kindergarten and too many children reach grade 4 lacking key science and math knowledge and skills. This deficiency is evident in Texas to the extent that the state has provided increased funding for high quality prekindergarten programs.

SFASU proposes to combine the efforts of the College of Sciences and Mathematics and the James I. Perkins College of Education to invest our efforts in STEM teaching and learning specific to early childhood education as well as to provide professional development for early childhood educators and caregivers.

Restoration of the 4% Reduction - As required, the base request includes only 96 percent of our prior year special item funding. To comply with the required reduction, we reduced institutional enhancement funding by 4%. We request the institutional enhancement \$493,854 biennial restoration of the four percent reduction. The institutional enhancement item currently funds faculty salaries and academic support services that include counseling and career services, disability services and science curriculum and outreach coordinators. If the reduction is not restored, we would have to eliminate four positions that support academic student support services.

Background Checks

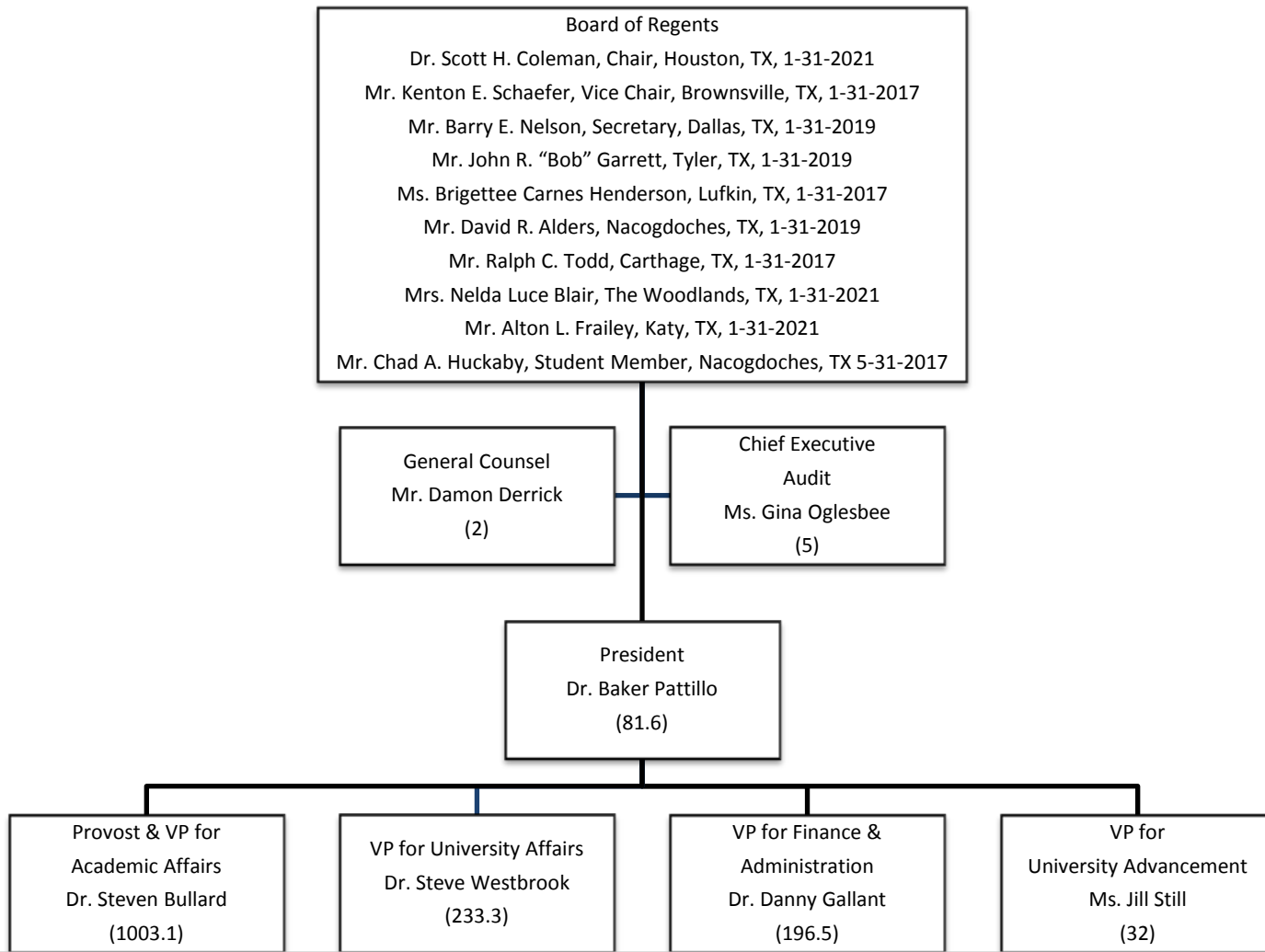
The University Police Department conducts background checks using the National Crime Information Center (NCIC), the Texas law Enforcement Telecommunication's System, (TLETS), and the Texas Crime Information Center, (TCIC). Criminal histories, driving records, warrants, stolen property, missing persons or other types of sensitive law enforcement information are obtained from these data bases. In addition, the Department of Human Resources uses a firm to obtain county criminal felony and misdemeanor information, national sexual offender information, social security number validation, and information from the National Criminal Database. From these data sources, Human Resources obtains background data on all faculty and staff, graduate assistants, and casual employees.

Conclusion

Stephen F. Austin State University has worked diligently to manage resources efficiently and to find cost savings without impacting the quality of education for students. The University serves an essential role in educating the students of Texas and the continued support of the Legislature is critical to facilitating SFASU's ability to provide an affordable, high quality education.

Stephen F. Austin is governed by a Board of Regents. The current members of the Board of Regents are included in the organizational chart.

Stephen F. Austin State University Organizational Chart





CERTIFICATE

Agency Name Stephen F. Austin State University

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's office will be notified in writing in accordance with Article IX, Section 7.01 (2016-17 GAA).

Chief Executive Officer or Presiding Judge

Baker Pattillo
Signature

Baker Pattillo
Printed Name

SFA President
Title

August 5, 2016
Date

Board or Commission Chair

Scott H. Coleman
Signature

Scott H. Coleman
Printed Name

SFA Board of Regents Chair
Title

August 5, 2016
Date

Chief Financial Officer

Danny R. Gallant
Signature

Danny R. Gallant
Printed Name

Vice President for Finance and Administration
Title

August 5, 2016
Date

Budget Overview - Biennial Amounts
85th Regular Session, Agency Submission, Version 1
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Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2016-17	2018-19	2018-19	
Goal: 1. Provide Instructional and Operations Support												
1.1.1. Operations Support	54,552,348		24,414,957						78,967,305			
1.1.3. Staff Group Insurance Premiums			6,177,803	6,301,977					6,177,803	6,301,977		
1.1.6. Texas Public Education Grants			4,049,297	4,050,000					4,049,297	4,050,000		
1.1.7. Organized Activities			1,658,047	1,810,000					1,658,047	1,810,000		
Total, Goal	54,552,348		36,300,104	12,161,977					90,852,452	12,161,977		
Goal: 2. Provide Infrastructure Support												
2.1.1. E&G Space Support	3,390,804		97,303						3,488,107			
2.1.2. Tuition Revenue Bond Retirement	12,214,235	14,885,532							12,214,235	14,885,532		
Total, Goal	15,605,039	14,885,532	97,303						15,702,342	14,885,532		
Goal: 3. Provide Special Item Support												
3.1.1. Rural Nursing Initiative	1,264,890	1,264,890							1,264,890	1,264,890		
3.2.1. Applied Forestry Studies Center	1,110,908	1,110,908							1,110,908	1,110,908		
3.3.1. Stone Fort Museum & Research Center	211,748	211,748							211,748	211,748		
3.3.2. Soil Plant & Water Analysis Lab	120,788	120,788							120,788	120,788		
3.3.3. Applied Poultry Studies & Research	113,920	113,920							113,920	113,920		
3.4.1. Institutional Enhancement	9,524,094	9,030,240					15,892	15,892	9,539,986	9,046,132		
3.5.1. Exceptional Item Request												2,493,854
Total, Goal	12,346,348	11,852,494					15,892	15,892	12,362,240	11,868,386		2,493,854
Goal: 6. Research Funds												
6.3.1. Comprehensive Research Fund	430,990								430,990			
Total, Goal	430,990								430,990			
Total, Agency	82,934,725	26,738,026	36,397,407	12,161,977			15,892	15,892	119,348,024	38,915,895		2,493,854
Total FTEs									695.0	691.0		9.0

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
1 OPERATIONS SUPPORT (1)	39,971,405	39,641,423	39,325,882	0	0
3 STAFF GROUP INSURANCE PREMIUMS	2,856,126	3,073,534	3,104,269	3,135,312	3,166,665
6 TEXAS PUBLIC EDUCATION GRANTS	2,021,427	2,024,297	2,025,000	2,025,000	2,025,000
7 ORGANIZED ACTIVITIES	741,275	753,047	905,000	905,000	905,000
TOTAL, GOAL 1	\$45,590,233	\$45,492,301	\$45,360,151	\$6,065,312	\$6,096,665
2 Provide Infrastructure Support					
1 Provide Operation and Maintenance of E&G Space					
1 E&G SPACE SUPPORT (1)	1,729,725	1,741,378	1,746,729	0	0
2 TUITION REVENUE BOND RETIREMENT	4,439,181	4,320,006	7,894,229	7,445,116	7,440,416
TOTAL, GOAL 2	\$6,168,906	\$6,061,384	\$9,640,958	\$7,445,116	\$7,440,416
3 Provide Special Item Support					

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>1</u> <i>Instructional Support Special Item Support</i>					
1 RURAL NURSING INITIATIVE	632,445	632,445	632,445	632,445	632,445
<u>2</u> <i>Research Special Item Support</i>					
1 APPLIED FORESTRY STUDIES CENTER	555,454	555,454	555,454	555,454	555,454
<u>3</u> <i>Public Service Special Item Support</i>					
1 STONE FORT MUSEUM & RESEARCH CENTER	108,960	105,874	105,874	105,874	105,874
2 SOIL PLANT & WATER ANALYSIS LAB	109,050	60,394	60,394	60,394	60,394
3 APPLIED POULTRY STUDIES & RESEARCH	56,960	56,960	56,960	56,960	56,960
<u>4</u> <i>Institutional Support Special Item Support</i>					
1 INSTITUTIONAL ENHANCEMENT	4,198,882	4,769,993	4,769,993	4,523,066	4,523,066
<u>5</u> <i>Exceptional Item Request</i>					
1 EXCEPTIONAL ITEM REQUEST	0	0	0	0	0
TOTAL, GOAL 3	\$5,661,751	\$6,181,120	\$6,181,120	\$5,934,193	\$5,934,193

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
6 Research Funds					
1 <i>Research Development Fund</i>					
1 RESEARCH DEVELOPMENT FUND	430,280	0	0	0	0
3 <i>Comprehensive Research Fund</i>					
1 COMPREHENSIVE RESEARCH FUND	0	215,495	215,495	0	0
TOTAL, GOAL 6	\$430,280	\$215,495	\$215,495	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274

2.A. Summary of Base Request by Strategy

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Goal / Objective / STRATEGY	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	39,376,380	39,613,693	43,321,032	13,371,363	13,366,663
SUBTOTAL	\$39,376,380	\$39,613,693	\$43,321,032	\$13,371,363	\$13,366,663
General Revenue Dedicated Funds:					
704 Bd Authorized Tuition Inc	868,269	890,844	975,000	0	0
770 Est Oth Educ & Gen Inco	17,606,521	17,437,817	17,093,746	6,065,312	6,096,665
SUBTOTAL	\$18,474,790	\$18,328,661	\$18,068,746	\$6,065,312	\$6,096,665
Other Funds:					
802 License Plate Trust Fund No. 0802	0	7,946	7,946	7,946	7,946
SUBTOTAL	\$0	\$7,946	\$7,946	\$7,946	\$7,946
TOTAL, METHOD OF FINANCING	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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Agency code: **755** Agency name: **Stephen F. Austin State University**

METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
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GENERAL REVENUE

1 General Revenue Fund

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-15 GAA)

\$39,265,903	\$0	\$0	\$0	\$0
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Regular Appropriations from MOF Table (2016-17 GAA)

\$0	\$40,113,693	\$40,232,191	\$0	\$0
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Regular Appropriations

\$0	\$0	\$0	\$13,371,363	\$13,366,663
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RIDER APPROPRIATION

Art III, Special Provisions, Sec 54 Research Development Fund (2014-15 GAA)

\$110,477	\$0	\$0	\$0	\$0
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TRANSFERS

Higher Education Coordinating Board - Rider 71, Contingency Appropriation HB100 TRB Debt Ser

\$0	\$0	\$3,588,841	\$0	\$0
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SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS

2.B. Summary of Base Request by Method of Finance
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Agency code:	755	Agency name:	Stephen F. Austin State University			
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE FUND - DEDICATED</u>						
TOTAL,	GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704	\$868,269	\$890,844	\$975,000	\$0	\$0
<u>770</u>	GR Dedicated - Estimated Other Educational and General Income Account No. 770					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2014-15 GAA)	\$17,022,064	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2016-17 GAA)	\$0	\$15,831,518	\$15,930,059	\$0	\$0
	Regular Appropriations	\$0	\$0	\$0	\$6,065,312	\$6,096,665
	<i>BASE ADJUSTMENT</i>					
	Revised Receipts	\$196,714	\$1,469,513	\$1,088,219	\$0	\$0
	Adjustment to Actual Expended	\$387,743	\$136,786	\$75,468	\$0	\$0

2.B. Summary of Base Request by Method of Finance
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Agency code:	755	Agency name:	Stephen F. Austin State University			
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>GENERAL REVENUE FUND - DEDICATED</u>						
TOTAL,	GR Dedicated - Estimated Other Educational and General Income Account No. 770	\$17,606,521	\$17,437,817	\$17,093,746	\$6,065,312	\$6,096,665
TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770		\$18,474,790	\$18,328,661	\$18,068,746	\$6,065,312	\$6,096,665
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$18,474,790	\$18,328,661	\$18,068,746	\$6,065,312	\$6,096,665
TOTAL,	GR & GR-DEDICATED FUNDS	\$57,851,170	\$57,942,354	\$61,389,778	\$19,436,675	\$19,463,328
<u>OTHER FUNDS</u>						
802	License Plate Trust Fund Account No. 0802					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2016-17 GAA)	\$0	\$0	\$0	\$0	\$0
	Regular Appropriations	\$0	\$0	\$0	\$7,946	\$7,946

2.B. Summary of Base Request by Method of Finance
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Agency code: 755		Agency name: Stephen F. Austin State University				
METHOD OF FINANCING		Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
<u>OTHER FUNDS</u>						
<i>RIDER APPROPRIATION</i>						
Art III, Special Provisions or Higher Education, Sec 60 Texas Collegiate License Plate Scholarships						
		\$0	\$7,946	\$7,946	\$0	\$0
TOTAL,	License Plate Trust Fund Account No. 0802					
		\$0	\$7,946	\$7,946	\$7,946	\$7,946
TOTAL, ALL	OTHER FUNDS					
		\$0	\$7,946	\$7,946	\$7,946	\$7,946
GRAND TOTAL		\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274

2.B. Summary of Base Request by Method of Finance
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METHOD OF FINANCING	Exp 2015	Est 2016	Bud 2017	Req 2018	Req 2019
Agency code: 755 Agency name: Stephen F. Austin State University					
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2014-15 GAA)	1,082.3	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2016-17 GAA)	0.0	1,074.7	1,074.7	0.0	0.0
Regular Appropriations	0.0	0.0	0.0	691.0	691.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Amount Below Cap	(389.0)	(379.7)	(379.7)	0.0	0.0
TOTAL, ADJUSTED FTES	693.3	695.0	695.0	691.0	691.0

NUMBER OF 100% FEDERALLY FUNDED FTES

2.C. Summary of Base Request by Object of Expense

10/14/2016 3:10:30PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

OBJECT OF EXPENSE	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1001 SALARIES AND WAGES	\$12,193,586	\$12,160,814	\$12,503,918	\$2,057,908	\$2,057,908
1002 OTHER PERSONNEL COSTS	\$599,698	\$544,939	\$324,063	\$135,693	\$135,693
1005 FACULTY SALARIES	\$34,329,865	\$34,551,611	\$34,456,677	\$4,644,556	\$4,644,556
1010 PROFESSIONAL SALARIES	\$391,528	\$388,296	\$385,205	\$0	\$0
2001 PROFESSIONAL FEES AND SERVICES	\$775	\$754	\$752	\$0	\$0
2003 CONSUMABLE SUPPLIES	\$4,604	\$3,601	\$2,306	\$0	\$0
2004 UTILITIES	\$218,221	\$216,419	\$214,697	\$0	\$0
2007 RENT - MACHINE AND OTHER	\$0	\$583	\$0	\$0	\$0
2008 DEBT SERVICE	\$4,438,406	\$4,319,252	\$7,893,477	\$7,445,116	\$7,440,416
2009 OTHER OPERATING EXPENSE	\$3,393,895	\$3,609,938	\$3,461,833	\$3,136,348	\$3,167,701
3001 CLIENT SERVICES	\$2,021,427	\$2,024,297	\$2,025,000	\$2,025,000	\$2,025,000
5000 CAPITAL EXPENDITURES	\$259,165	\$129,796	\$129,796	\$0	\$0
OOE Total (Excluding Riders)	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274
OOE Total (Riders)					
Grand Total	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/14/2016 3:10:30PM

755 Stephen F. Austin State University

Goal/ Objective / Outcome	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs					
	42.80%	43.40%	40.90%	45.00%	45.50%
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs					
	43.70%	48.30%	46.70%	45.00%	45.50%
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yr					
	44.50%	37.10%	38.30%	45.00%	45.50%
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs					
	40.20%	35.50%	30.70%	45.00%	45.50%
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs					
	37.90%	48.70%	47.30%	45.00%	45.50%
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs					
	22.00%	24.50%	26.20%	28.00%	28.50%
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs					
	28.50%	31.60%	33.10%	28.00%	28.50%
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs					
	17.40%	22.40%	24.30%	28.00%	28.50%
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs					
	11.40%	14.00%	16.60%	28.00%	28.50%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs					
	27.00%	19.60%	24.60%	28.00%	28.50%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr					
	67.30%	70.00%	71.00%	71.50%	72.00%
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr					
	71.00%	72.40%	74.70%	71.50%	72.00%

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/14/2016 3:10:30PM

755 Stephen F. Austin State University

<i>Goal/ Objective / Outcome</i>	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	62.10%	64.30%	65.70%	71.50%	72.00%
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	65.90%	69.00%	66.10%	71.50%	72.00%
15 Persistence Rate1st-time, Full-time, Degree-seeking Other Frsh-1yr	53.90%	69.40%	71.10%	71.50%	72.00%
16 Percent of Semester Credit Hours Completed	97.70%	97.50%	97.70%	97.50%	97.50%
KEY 17 Certification Rate of Teacher Education Graduates	94.90%	96.20%	94.00%	97.00%	97.00%
18 Percentage of Underprepared Students Satisfy TSI Obligation in Math	65.00%	43.60%	54.10%	70.00%	70.00%
19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing	70.00%	71.60%	80.60%	70.00%	70.00%
20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading	80.00%	87.50%	91.70%	70.00%	70.00%
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	46.00%	47.40%	44.80%	45.00%	45.00%
KEY 22 Percent of Transfer Students Who Graduate within 4 Years	67.10%	61.30%	62.20%	70.00%	70.00%
KEY 23 Percent of Transfer Students Who Graduate within 2 Years	25.20%	21.60%	28.30%	32.00%	33.00%
KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	52.10%	49.10%	52.00%	50.00%	50.00%
KEY 27 State Licensure Pass Rate of Nursing Graduates	98.40%	88.20%	88.20%	90.00%	95.00%

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/14/2016 3:10:30PM

755 Stephen F. Austin State University

<i>Goal/ Objective / Outcome</i>	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions)	4.00	3.60	4.50	4.70	5.00
31 External or Sponsored Research Funds As a % of State Appropriations	10.80%	9.80%	11.60%	12.00%	13.00%
32 External Research Funds As Percentage Appropriated for Research	382.00%	344.00%	408.70%	400.00%	400.00%
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	50.00%	100.00%	100.00%	0.00%	0.00%
49 Average No Months Endowed Chairs Remain Vacant	9.00	12.00	9.00	0.00	0.00

2.E. Summary of Exceptional Items Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
 TIME : 3:10:31PM

Agency code: 755

Agency name: Stephen F. Austin State University

Priority	Item	2018			2019			Biennium		
		GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds	
1	STEM Education/Early Childhood	\$1,000,000	\$1,000,000	5.0	\$1,000,000	\$1,000,000	5.0	\$2,000,000	\$2,000,000	
2	Restoration of the 4% Reduction	\$246,927	\$246,927	4.0	\$246,927	\$246,927	4.0	\$493,854	\$493,854	
Total, Exceptional Items Request		\$1,246,927	\$1,246,927	9.0	\$1,246,927	\$1,246,927	9.0	\$2,493,854	\$2,493,854	
Method of Financing										
	General Revenue	\$1,246,927	\$1,246,927		\$1,246,927	\$1,246,927		\$2,493,854	\$2,493,854	
	General Revenue - Dedicated									
	Federal Funds									
	Other Funds									
		\$1,246,927	\$1,246,927		\$1,246,927	\$1,246,927		\$2,493,854	\$2,493,854	
Full Time Equivalent Positions				9.0				9.0		
Number of 100% Federally Funded FTEs										

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016
 TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
1 Provide Instructional and Operations Support						
<i>1 Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
3 STAFF GROUP INSURANCE PREMIUMS	3,135,312	3,166,665	0	0	3,135,312	3,166,665
6 TEXAS PUBLIC EDUCATION GRANTS	2,025,000	2,025,000	0	0	2,025,000	2,025,000
7 ORGANIZED ACTIVITIES	905,000	905,000	0	0	905,000	905,000
TOTAL, GOAL 1	\$6,065,312	\$6,096,665	\$0	\$0	\$6,065,312	\$6,096,665
2 Provide Infrastructure Support						
<i>1 Provide Operation and Maintenance of E&G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	7,445,116	7,440,416	0	0	7,445,116	7,440,416
TOTAL, GOAL 2	\$7,445,116	\$7,440,416	\$0	\$0	\$7,445,116	\$7,440,416

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016
 TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
3 Provide Special Item Support						
1 <i>Instructional Support Special Item Support</i>						
1 RURAL NURSING INITIATIVE	\$632,445	\$632,445	\$0	\$0	\$632,445	\$632,445
2 <i>Research Special Item Support</i>						
1 APPLIED FORESTRY STUDIES CENTER	555,454	555,454	0	0	555,454	555,454
3 <i>Public Service Special Item Support</i>						
1 STONE FORT MUSEUM & RESEARCH CENTER	105,874	105,874	0	0	105,874	105,874
2 SOIL PLANT & WATER ANALYSIS LAB	60,394	60,394	0	0	60,394	60,394
3 APPLIED POULTRY STUDIES & RESEARCH	56,960	56,960	0	0	56,960	56,960
4 <i>Institutional Support Special Item Support</i>						
1 INSTITUTIONAL ENHANCEMENT	4,523,066	4,523,066	0	0	4,523,066	4,523,066
5 <i>Exceptional Item Request</i>						
1 EXCEPTIONAL ITEM REQUEST	0	0	1,246,927	1,246,927	1,246,927	1,246,927
TOTAL, GOAL 3	\$5,934,193	\$5,934,193	\$1,246,927	\$1,246,927	\$7,181,120	\$7,181,120

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016

TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
6 Research Funds						
1 <i>Research Development Fund</i>						
1 RESEARCH DEVELOPMENT FUND	\$0	\$0	\$0	\$0	\$0	\$0
3 <i>Comprehensive Research Fund</i>						
1 COMPREHENSIVE RESEARCH FUND	0	0	0	0	0	0
TOTAL, GOAL 6	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$19,444,621	\$19,471,274	\$1,246,927	\$1,246,927	\$20,691,548	\$20,718,201
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$19,444,621	\$19,471,274	\$1,246,927	\$1,246,927	\$20,691,548	\$20,718,201

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/14/2016

TIME : 3:10:31PM

Agency code: 755 Agency name: Stephen F. Austin State University

Goal/Objective/STRATEGY	Base 2018	Base 2019	Exceptional 2018	Exceptional 2019	Total Request 2018	Total Request 2019
General Revenue Funds:						
1 General Revenue Fund	\$13,371,363	\$13,366,663	\$1,246,927	\$1,246,927	\$14,618,290	\$14,613,590
	\$13,371,363	\$13,366,663	\$1,246,927	\$1,246,927	\$14,618,290	\$14,613,590
General Revenue Dedicated Funds:						
704 Bd Authorized Tuition Inc	0	0	0	0	0	0
770 Est Oth Educ & Gen Inco	6,065,312	6,096,665	0	0	6,065,312	6,096,665
	\$6,065,312	\$6,096,665	\$0	\$0	\$6,065,312	\$6,096,665
Other Funds:						
802 License Plate Trust Fund No. 0802	7,946	7,946	0	0	7,946	7,946
	\$7,946	\$7,946	\$0	\$0	\$7,946	\$7,946
TOTAL, METHOD OF FINANCING	\$19,444,621	\$19,471,274	\$1,246,927	\$1,246,927	\$20,691,548	\$20,718,201
FULL TIME EQUIVALENT POSITIONS	691.0	691.0	9.0	9.0	700.0	700.0

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016
 Time: 3:10:32PM

Agency code: 755 Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
1 Provide Instructional and Operations Support						
1 <i>Provide Instructional and Operations Support</i>						
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs						
	45.00%	45.50%			45.00%	45.50%
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs						
	45.00%	45.50%			45.00%	45.50%
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yr						
	45.00%	45.50%			45.00%	45.50%
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs						
	45.00%	45.50%			45.00%	45.50%
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs						
	45.00%	45.50%			45.00%	45.50%
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs						
	28.00%	28.50%			28.00%	28.50%
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs						
	28.00%	28.50%			28.00%	28.50%
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs						
	28.00%	28.50%			28.00%	28.50%

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016

Time: 3:10:32PM

Agency code: 755

Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	28.00%	28.50%			28.00%	28.50%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	28.00%	28.50%			28.00%	28.50%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	71.50%	72.00%			71.50%	72.00%
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	71.50%	72.00%			71.50%	72.00%
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	71.50%	72.00%			71.50%	72.00%
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	71.50%	72.00%			71.50%	72.00%
15 Persistence Rate 1st-time, Full-time, Degree-seeking Other Frsh-1yr	71.50%	72.00%			71.50%	72.00%
16 Percent of Semester Credit Hours Completed	97.50%	97.50%			97.50%	97.50%
KEY 17 Certification Rate of Teacher Education Graduates	97.00%	97.00%			97.00%	97.00%

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016

Time: 3:10:32PM

Agency code: 755

Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
18 Percentage of Underprepared Students Satisfy TSI Obligation in Math	70.00%	70.00%			70.00%	70.00%
19 Percentage of Underprepared Students Satisfy TSI Obligation in Writing	70.00%	70.00%			70.00%	70.00%
20 Percentage of Underprepared Students Satisfy TSI Obligation in Reading	70.00%	70.00%			70.00%	70.00%
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	45.00%	45.00%			45.00%	45.00%
KEY 22 Percent of Transfer Students Who Graduate within 4 Years	70.00%	70.00%			70.00%	70.00%
KEY 23 Percent of Transfer Students Who Graduate within 2 Years	32.00%	33.00%			32.00%	33.00%
KEY 24 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	50.00%	50.00%			50.00%	50.00%
KEY 27 State Licensure Pass Rate of Nursing Graduates	90.00%	95.00%			90.00%	95.00%
KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions)	4.70	5.00			4.70	5.00

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/14/2016

Time: 3:10:32PM

Agency code: 755

Agency name: Stephen F. Austin State University

Goal/ Objective / Outcome

	BL 2018	BL 2019	Excp 2018	Excp 2019	Total Request 2018	Total Request 2019
31 External or Sponsored Research Funds As a % of State Appropriations	12.00%	13.00%			12.00%	13.00%
32 External Research Funds As Percentage Appropriated for Research	400.00%	400.00%			400.00%	400.00%
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	0.00%	0.00%			0.00%	0.00%
49 Average No Months Endowed Chairs Remain Vacant	0.00	0.00			0.00	0.00

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018 ⁽¹⁾	BL 2019 ⁽¹⁾
Output Measures:						
1	Number of Undergraduate Degrees Awarded	2,059.00	2,043.00	2,108.00	2,075.00	2,090.00
2	Number of Minority Graduates	706.00	788.00	675.00	726.00	750.00
3	Number of Underprepared Students Who Satisfy TSI Obligation in Math	150.00	75.00	85.00	150.00	150.00
4	Number of Underprepared Students Who Satisfy TSI Obligation in Writing	30.00	78.00	100.00	30.00	30.00
5	Number of Underprepared Students Who Satisfy TSI Obligation in Reading	30.00	63.00	66.00	30.00	30.00
6	Number of Two-Year College Transfers Who Graduate	608.00	615.00	640.00	615.00	640.00
Efficiency Measures:						
KEY 1	Administrative Cost As a Percent of Operating Budget	10.96 %	11.90 %	11.22 %	10.96 %	10.96 %
KEY 2	Avg Cost of Resident Undergraduate Tuition and Fees for 15 SCH	4,416.00	4,656.00	4,769.00	4,769.00	4,769.00
Explanatory/Input Measures:						
1	Student/Faculty Ratio	18.60	17.90	17.70	17.60	18.00
2	Number of Minority Students Enrolled	4,423.00	4,363.00	4,428.00	4,363.00	4,428.00
3	Number of Community College Transfers Enrolled	2,495.00	2,414.00	4,455.00	4,369.00	2,450.00
4	Number of Semester Credit Hours Completed	152,217.00	149,585.00	149,208.00	149,079.00	151,829.00

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018 ⁽¹⁾	BL 2019 ⁽¹⁾
5	Number of Semester Credit Hours	155,901.00	151,854.00	151,857.00	151,657.00	154,132.00
6	Number of Students Enrolled as of the Twelfth Class Day	12,808.00	12,584.00	12,644.00	12,484.00	12,774.00
KEY 7	Average Student Loan Debt	28,542.00	29,386.00	30,992.00	30,992.00	33,489.00
KEY 8	Percent of Students with Student Loan Debt	69.00 %	70.50 %	70.70 %	70.90 %	71.20 %
KEY 9	Average Financial Aid Award Per Full-Time Student	13,368.00	13,502.00	13,637.00	13,909.00	14,188.00
KEY 10	Percent of Full-Time Students Receiving Financial Aid	71.00 %	71.70 %	72.40 %	73.80 %	75.00 %
Objects of Expense:						
1001	SALARIES AND WAGES	\$8,479,821	\$8,409,816	\$8,480,622	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$124,996	\$123,964	\$122,978	\$0	\$0
1005	FACULTY SALARIES	\$30,290,221	\$30,040,162	\$29,801,045	\$0	\$0
1010	PROFESSIONAL SALARIES	\$391,528	\$388,296	\$385,205	\$0	\$0
2004	UTILITIES	\$218,221	\$216,419	\$214,697	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$466,618	\$462,766	\$321,335	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$39,971,405	\$39,641,423	\$39,325,882	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$28,441,490	\$27,212,148	\$27,340,200	\$0	\$0

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018 ⁽¹⁾	BL 2019 ⁽¹⁾
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$28,441,490	\$27,212,148	\$27,340,200	\$0	\$0
Method of Financing:						
704	Bd Authorized Tuition Inc	\$868,269	\$890,844	\$975,000	\$0	\$0
770	Est Oth Educ & Gen Inco	\$10,661,646	\$11,538,431	\$11,010,682	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$11,529,915	\$12,429,275	\$11,985,682	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$39,971,405	\$39,641,423	\$39,325,882	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		551.0	547.7	546.7	546.7	546.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, including nursing, departmental operating expense, library, instructional administration, research enhancement, student services, and institutional support. These funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$78,967,305	\$0	\$(78,967,305)	\$(78,967,305)	Formula funded strategies are not requested in 2018-2019 because amounts are not determined by institutions
			\$(78,967,305)	Total of Explanation of Biennial Change

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:
 Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$2,856,126	\$3,073,534	\$3,104,269	\$3,135,312	\$3,166,665
TOTAL, OBJECT OF EXPENSE		\$2,856,126	\$3,073,534	\$3,104,269	\$3,135,312	\$3,166,665
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$2,856,126	\$3,073,534	\$3,104,269	\$3,135,312	\$3,166,665
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,856,126	\$3,073,534	\$3,104,269	\$3,135,312	\$3,166,665
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,135,312	\$3,166,665
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,856,126	\$3,073,534	\$3,104,269	\$3,135,312	\$3,166,665

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy reflects the institutional portion of health insurance costs.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The rising cost of health care and health insurance impact this strategy.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$6,177,803	\$6,301,977	\$124,174	\$124,174	Group Insurance expected to increase for 2018-2019
			\$124,174	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:

Service: 20 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
3001	CLIENT SERVICES	\$2,021,427	\$2,024,297	\$2,025,000	\$2,025,000	\$2,025,000
TOTAL, OBJECT OF EXPENSE		\$2,021,427	\$2,024,297	\$2,025,000	\$2,025,000	\$2,025,000
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$2,021,427	\$2,024,297	\$2,025,000	\$2,025,000	\$2,025,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,021,427	\$2,024,297	\$2,025,000	\$2,025,000	\$2,025,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,025,000	\$2,025,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,021,427	\$2,024,297	\$2,025,000	\$2,025,000	\$2,025,000

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy reflects the portion of per hour tuition revenue that is set aside for grants for students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Factors that impact this strategy include the state of the economy and the economic status of students.

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:
 Service: 20 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$4,049,297	\$4,050,000	\$703	\$703	Rounding Difference
			\$703	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 7 Organized Activities

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$726,382	\$687,927	\$886,817	\$886,817	\$886,817
1002	OTHER PERSONNEL COSTS	\$14,473	\$25,264	\$17,670	\$17,670	\$17,670
2003	CONSUMABLE SUPPLIES	\$0	\$1,295	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$0	\$583	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$420	\$37,978	\$513	\$513	\$513
TOTAL, OBJECT OF EXPENSE		\$741,275	\$753,047	\$905,000	\$905,000	\$905,000
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$741,275	\$753,047	\$905,000	\$905,000	\$905,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$741,275	\$753,047	\$905,000	\$905,000	\$905,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$905,000	\$905,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$741,275	\$753,047	\$905,000	\$905,000	\$905,000
FULL TIME EQUIVALENT POSITIONS:		11.0	13.0	13.0	13.0	13.0

755 Stephen F. Austin State University

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 7 Organized Activities Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

All costs of activities or enterprises separately organized and operated in connection with instructional departments primarily of the purpose of giving professional training to students as a necessary part of the educational work of the related departments. Organized activities provide laboratory experiences for the University students in Agriculture and Early Childhood programs of instruction. These units also provide public service to the community and region and serve as resources in conducting appropriate research.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Raises in minimum wage, rising cost of food, feed and equipment affect the teaching farms and early childhood lab. The farms do not produce enough income to cover the cost of operation because they are instructional units and lack economies of scale.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,658,047	\$1,810,000	\$151,953	\$151,953	Expect to spend all revenue collected in 2018-2019
			\$151,953	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018 ⁽¹⁾	BL 2019 ⁽¹⁾
Efficiency Measures:						
1	Space Utilization Rate of Classrooms	25.00	30.00	32.00	32.00	33.00
2	Space Utilization Rate of Labs	25.00	27.00	25.00	25.00	26.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,664,970	\$1,676,187	\$1,681,337	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$64,755	\$65,191	\$65,392	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$1,729,725	\$1,741,378	\$1,746,729	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$1,678,008	\$1,692,870	\$1,697,934	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,678,008	\$1,692,870	\$1,697,934	\$0	\$0
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$51,717	\$48,508	\$48,795	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$51,717	\$48,508	\$48,795	\$0	\$0

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
					(1)	(1)
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)					\$0	\$0
FULL TIME EQUIVALENT POSITIONS:					53.0	53.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$3,488,107	\$0	\$(3,488,107)	\$(3,488,107)	Formula funded strategies are not requested in 2018-2019 because amounts are not determined by institutions
			\$(3,488,107)	Total of Explanation of Biennial Change

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 2 Tuition Revenue Bond Retirement

Service Categories:
 Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
2001	PROFESSIONAL FEES AND SERVICES	\$775	\$754	\$752	\$0	\$0
2008	DEBT SERVICE	\$4,438,406	\$4,319,252	\$7,893,477	\$7,445,116	\$7,440,416
TOTAL, OBJECT OF EXPENSE		\$4,439,181	\$4,320,006	\$7,894,229	\$7,445,116	\$7,440,416
Method of Financing:						
1	General Revenue Fund	\$4,439,181	\$4,320,006	\$7,894,229	\$7,445,116	\$7,440,416
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$4,439,181	\$4,320,006	\$7,894,229	\$7,445,116	\$7,440,416
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$7,445,116	\$7,440,416
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,439,181	\$4,320,006	\$7,894,229	\$7,445,116	\$7,440,416
FULL TIME EQUIVALENT POSITIONS:						
STRATEGY DESCRIPTION AND JUSTIFICATION:						

755 Stephen F. Austin State University

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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To provide 2016-17 ongoing debt service for existing tuition revenue bond projects. The debt service that is requested for fiscal years 2018 and 2019 supports tuition revenue bond projects in 2002, 2006, 2007, and 2015. Those projects include the 2002 Series which funded a new Telecommunications building and equipment as well as renovations to Power Plant and infrastructure; the 2006 Series which funded the construction of a new Early Childhood Research Center; the 2007 series which funded the construction of a new Nursing building as well as deferred maintenance; and the 2015 series which will fund the construction of a new Science, Technology, Engineering, and Mathematics (STEM) building.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

A major factor impacting this strategy is the economic health of the state of Texas.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$12,214,235	\$14,885,532	\$2,671,297	\$(917,544)	Decreased TRB Debt Service for 2018-2019
			\$3,588,841	THECB Rider 71, Contingency Appropriation, HB100 TRB Debt Service not appropriated for 2016
			\$2,671,297	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support

OBJECTIVE: 1 Instructional Support Special Item Support

STRATEGY: 1 Rural Nursing Initiative

Service Categories:

Service: 19

Income: A.1

Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1002	OTHER PERSONNEL COSTS	\$149,028	\$144,776	\$0	\$0	\$0
1005	FACULTY SALARIES	\$483,417	\$487,669	\$632,445	\$632,445	\$632,445
TOTAL, OBJECT OF EXPENSE		\$632,445	\$632,445	\$632,445	\$632,445	\$632,445
Method of Financing:						
1	General Revenue Fund	\$632,445	\$632,445	\$632,445	\$632,445	\$632,445
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$632,445	\$632,445	\$632,445	\$632,445	\$632,445
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$632,445	\$632,445
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$632,445	\$632,445	\$632,445	\$632,445	\$632,445
FULL TIME EQUIVALENT POSITIONS:		8.0	8.0	8.0	8.0	8.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The mission of the Rural Nursing Initiative is to address the shortage of nurses in rural East Texas by increasing the number of students admitted into the nursing program. Since nurses who train in rural areas tend to remain in rural areas, funding for this item would permit additional students to be admitted to the baccalaureate program and will thereby increase the number of nurses in the East Texas region. This is a continuation of the current project.

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 1 Instructional Support Special Item Support
 STRATEGY: 1 Rural Nursing Initiative

Service Categories:
 Service: 19 Income: A.1 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,264,890	\$1,264,890	\$0	\$0	No Change
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 1 Center for Applied Studies in Forestry

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$257,926	\$301,598	\$369,856	\$369,856	\$369,856
1002	OTHER PERSONNEL COSTS	\$175,583	\$111,263	\$43,598	\$43,598	\$43,598
1005	FACULTY SALARIES	\$121,945	\$142,593	\$142,000	\$142,000	\$142,000
TOTAL, OBJECT OF EXPENSE		\$555,454	\$555,454	\$555,454	\$555,454	\$555,454
Method of Financing:						
1	General Revenue Fund	\$555,454	\$555,454	\$555,454	\$555,454	\$555,454
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$555,454	\$555,454	\$555,454	\$555,454	\$555,454
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$555,454	\$555,454
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$555,454	\$555,454	\$555,454	\$555,454	\$555,454
FULL TIME EQUIVALENT POSITIONS:		5.5	5.0	5.5	5.5	5.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 1 Center for Applied Studies in Forestry Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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The Center for Applied Studies in Forestry (CASF) in the Arthur Temple College of Forestry and Agriculture is dedicated to applied research that delivers working solutions to the economic and ecological challenges associated with forest resources in Texas. It has existed since 1980 and serves a diverse clientele, providing information not available at any other location in the state. The center provides essential knowledge and expertise required to manage, protect and conserve forest and environmental resources in Texas and to promote sustainable economic development. The Center conducts integrated programs of interdisciplinary research, graduate education, training for professional foresters, and provides services to a broad range of clientele groups.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$1,110,908	\$1,110,908	\$0	\$0	No Change
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support
 STRATEGY: 1 Stone Fort Museum and Research Center of East Texas

Service Categories:
 Service: 04 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$67,624	\$65,709	\$65,709	\$65,709	\$65,709
1002	OTHER PERSONNEL COSTS	\$3,840	\$3,731	\$3,731	\$3,731	\$3,731
1005	FACULTY SALARIES	\$37,496	\$36,434	\$36,434	\$36,434	\$36,434
TOTAL, OBJECT OF EXPENSE		\$108,960	\$105,874	\$105,874	\$105,874	\$105,874
Method of Financing:						
1	General Revenue Fund	\$105,874	\$105,874	\$105,874	\$105,874	\$105,874
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$105,874	\$105,874	\$105,874	\$105,874	\$105,874
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$3,086	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$3,086	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$105,874	\$105,874
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$108,960	\$105,874	\$105,874	\$105,874	\$105,874
FULL TIME EQUIVALENT POSITIONS:		1.5	1.5	1.5	1.5	1.5

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 1 Stone Fort Museum and Research Center of East Texas Service: 04 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Stone Fort Museum (SFM) is an educational center at Stephen F. Austin State University (SFASU) serving the University and regional community through interdisciplinary, collaborative research, service learning projects, and educational programs. Its goal is to support the mission of the University and the preservation of eastern Texas history. As an academic support unit of the University, the Museum functions as a center within the College of Liberal and Applied Arts. The Museum’s Unit Objectives are linked to the mission of SFASU in four areas:

- Education Services – The Museum will provide quality learner-centered services to a diverse community; including a University, local, regional, and statewide audience.
- Research & Interpretation – The Museum will provide faculty, staff and students opportunities to engage in interdisciplinary, collaborative research on topics relevant to the museum collection, informal education, interpretive methods, and museum management.
- Resources – The Museum will maintain and enhance resources, including, human resources, facilities and artifactual collections.
- Civic Engagement & Quality Relationships – The Museum will seek to build quality relationships locally, regionally, statewide, and nationally that foster growth and provide civic engagement opportunities for faculty, staff and students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 1 Stone Fort Museum and Research Center of East Texas Service: 04 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$211,748	\$211,748	\$0	\$0	No change
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support
 STRATEGY: 2 Soil Plant and Water Analysis Laboratory

Service Categories:
 Service: 37 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$105,530	\$58,445	\$58,445	\$58,445	\$58,445
1002	OTHER PERSONNEL COSTS	\$3,520	\$1,949	\$1,949	\$1,949	\$1,949
TOTAL, OBJECT OF EXPENSE		\$109,050	\$60,394	\$60,394	\$60,394	\$60,394
Method of Financing:						
1	General Revenue Fund	\$60,394	\$60,394	\$60,394	\$60,394	\$60,394
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$60,394	\$60,394	\$60,394	\$60,394	\$60,394
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$48,656	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$48,656	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$60,394	\$60,394
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$109,050	\$60,394	\$60,394	\$60,394	\$60,394
FULL TIME EQUIVALENT POSITIONS:		1.3	1.3	1.3	1.3	1.3

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 2 Soil Plant and Water Analysis Laboratory Service: 37 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The mission of the Soil, Plant and Water Analysis laboratory involves Public Service, Research, and Instructional Support in analysis of soils, plant tissue, animal wastes, water quality and the development of new plant materials which supports diversity in landscapes of Texas. This comprehensive program supports combined regional agricultural hay, poultry, livestock, dairy and nursery industries with an estimated value of well over a billion dollars.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$120,788	\$120,788	\$0	\$0	No Change
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support
 STRATEGY: 3 Applied Poultry Studies and Research

Service Categories:
 Service: 38 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$32,000	\$32,000	\$32,000	\$32,000	\$32,000
1002	OTHER PERSONNEL COSTS	\$24,960	\$24,960	\$24,960	\$24,960	\$24,960
TOTAL, OBJECT OF EXPENSE		\$56,960	\$56,960	\$56,960	\$56,960	\$56,960
Method of Financing:						
1	General Revenue Fund	\$56,960	\$56,960	\$56,960	\$56,960	\$56,960
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$56,960	\$56,960	\$56,960	\$56,960	\$56,960
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$56,960	\$56,960
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$56,960	\$56,960	\$56,960	\$56,960	\$56,960
FULL TIME EQUIVALENT POSITIONS:		1.0	1.0	1.0	1.0	1.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The purpose is to provide continued support in research, service, and teaching to the poultry industry of the East Texas Region. Research studies are designed to improve the economic efficiency within the integrated poultry industry and to address newly emerging topics in poultry production and management. The economics of the poultry industry in East Texas is an estimated 10 to 20 million dollars annually. The Center is in a very unique facility to provide important services to allied industry partners and to prepare our students for employment in this thriving industry..

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 3 Applied Poultry Studies and Research Service: 38 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$113,920	\$113,920	\$0	\$0	No Change
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support

OBJECTIVE: 4 Institutional Support Special Item Support

STRATEGY: 1 Institutional Enhancement

Service Categories:

Service: 19

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$785,208	\$892,008	\$892,008	\$645,081	\$645,081
1002	OTHER PERSONNEL COSTS	\$38,543	\$43,841	\$43,785	\$43,785	\$43,785
1005	FACULTY SALARIES	\$3,374,671	\$3,833,677	\$3,833,677	\$3,833,677	\$3,833,677
2009	OTHER OPERATING EXPENSE	\$460	\$467	\$523	\$523	\$523
TOTAL, OBJECT OF EXPENSE		\$4,198,882	\$4,769,993	\$4,769,993	\$4,523,066	\$4,523,066
Method of Financing:						
1	General Revenue Fund	\$2,976,294	\$4,762,047	\$4,762,047	\$4,515,120	\$4,515,120
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,976,294	\$4,762,047	\$4,762,047	\$4,515,120	\$4,515,120
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$1,222,588	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,222,588	\$0	\$0	\$0	\$0
Method of Financing:						
802	License Plate Trust Fund No. 0802	\$0	\$7,946	\$7,946	\$7,946	\$7,946
SUBTOTAL, MOF (OTHER FUNDS)		\$0	\$7,946	\$7,946	\$7,946	\$7,946

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support
 STRATEGY: 1 Institutional Enhancement

Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,523,066	\$4,523,066
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,198,882	\$4,769,993	\$4,769,993	\$4,523,066	\$4,523,066
FULL TIME EQUIVALENT POSITIONS:		62.0	63.5	64.0	60.0	60.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

Institutional Enhancement funding is used to attract, hire, and retain qualified faculty members who are committed to quality classroom instruction and research, as well as supporting recruitment, marketing and retention efforts. Some of the expenditures from this strategy are included in operations support.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$9,539,986	\$9,046,132	\$(493,854)	\$(493,854)	4% Reduction per General Revenue Limit
			<u>\$(493,854)</u>	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
1010	PROFESSIONAL SALARIES	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	0.0	0.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

755 Stephen F. Austin State University

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$0	\$0	\$0	\$0	Exceptional Item Request for 2018-2019
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 1 Research Development Fund
 STRATEGY: 1 Research Development Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$74,125	\$0	\$0	\$0	\$0
1005	FACULTY SALARIES	\$22,115	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$4,604	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$70,271	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$259,165	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$430,280	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$430,280	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$430,280	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$430,280	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	0.0	0.0

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 1 Research Development Fund Service Categories:
 STRATEGY: 1 Research Development Fund Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Research Development Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2016 + Bud 2017)</u>	<u>Baseline Request (BL 2018 + BL 2019)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$0	\$0	\$0	\$0	No Change
			\$0	Total of Explanation of Biennial Change

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$37,124	\$37,124	\$0	\$0
1005	FACULTY SALARIES	\$0	\$11,076	\$11,076	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$0	\$2,306	\$2,306	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$35,193	\$35,193	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$129,796	\$129,796	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$215,495	\$215,495	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$215,495	\$215,495	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$215,495	\$215,495	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$215,495	\$215,495	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		1.0	1.0	1.0	1.0	1.0

755 Stephen F. Austin State University

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2015	Est 2016	Bud 2017	BL 2018	BL 2019
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comprehensive Research Fund is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. The purpose of these funds is to promote research capacity.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2016 + Bud 2017)	Baseline Request (BL 2018 + BL 2019)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$430,990	\$0	\$(430,990)	\$(430,990)	Strategy not requested for 2018-2019 because amounts are not determined by institutions
			\$(430,990)	Total of Explanation of Biennial Change

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274
METHODS OF FINANCE (INCLUDING RIDERS):				\$19,444,621	\$19,471,274
METHODS OF FINANCE (EXCLUDING RIDERS):	\$57,851,170	\$57,950,300	\$61,397,724	\$19,444,621	\$19,471,274
FULL TIME EQUIVALENT POSITIONS:	693.3	695.0	695.0	691.0	691.0

Agency Code: 755		Agency: Stephen F. Austin State University				Prepared By: Debbie Sellman					
Date: October 2016						16-17 Base	Requested 2018	Requested 2019	Biennial Total 18-19	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name					\$	%
A	Instruction/Operations	A.1.1	Operations Support	Operations Support	Formula Funding - Instructions and Operations Support	\$75,862,009	\$0	\$0	\$0	(\$75,862,009)	-100.0%
		A.1.2	Teaching Experience Supplement	Teaching Experience Supplement	Formula Funding - Teaching Experience Supplement	\$3,105,296	\$0	\$0	\$0	(\$3,105,296)	-100.0%
		A.1.3	Staff Group Insurance Premiums	Staff Group Insurance Premiums	Staff Group Insurance	\$6,177,803	\$3,135,312	\$3,166,665	\$6,301,977	\$124,174	2.0%
		A.1.4	Texas Public Education Grants	Texas Public Education Grants	Texas Public Education Grants	\$4,049,297	\$2,025,000	\$2,025,000	\$4,050,000	\$703	0.0%
		A.1.5	Organized Activities	Organized Activities	Organized Activities	\$1,658,047	\$905,000	\$905,000	\$1,810,000	\$151,953	9.2%
B	Infrastructure Support	B.1.1	E&G Space Support	E&G Space Support	Formula Funding - Educational & General Support	\$3,488,107	\$0	\$0	\$0	(\$3,488,107)	-100.0%
		B.1.2	Tuition Revenue Bond Retirement	Tuition Revenue Bond Retirement	Tuition Revenue Bond Retirement	\$12,214,235	\$7,445,116	\$7,440,416	\$14,885,532	\$2,671,297	21.9%
C	Special Item Support	C.1.1	Rural Nursing Initiative	Rural Nursing Initiative	Rural Nursing Initiative	\$1,264,890	\$632,445	\$632,445	\$1,264,890	\$0	0.0%
		C.2.1	Applied Forestry Studies Center	Applied Forestry Studies Center	Applied Forestry Studies Center	\$1,110,908	\$555,454	\$555,454	\$1,110,908	\$0	0.0%
		C.3.1	Stone Fort Museum & Research Center	Stone Fort Museum & Research Center	Stone Fort Museum and Research Center	\$211,748	\$105,874	\$105,874	\$211,748	\$0	0.0%
		C.3.2	Soil Plant & Water Analysis Lab	Soil Plant & Water Analysis Lab	Soil, Plant, and Water Analysis Lab	\$120,788	\$60,394	\$60,394	\$120,788	\$0	0.0%
		C.3.3	Applied Poultry Studies & Research	Applied Poultry Studies & Research	Applied Poultry Studies and Research	\$113,920	\$56,960	\$56,960	\$113,920	\$0	0.0%
		C.4.1	Institutional Enhancement	Institutional Enhancement	Institutional Enhancement						
					Operations Support	\$9,524,094	\$4,515,120	\$4,515,120	\$9,030,240	(\$493,854)	-5.2%
					License Plate Trust Fund	\$15,892	\$7,946	\$7,946	\$15,892	\$0	0.0%
		3.5.1	Exceptional Item Request	Exceptional Item Request	Restoration of the 4% Reduction	\$0	\$246,927	\$246,927	\$493,854	\$493,854	100.0%
					STEM Education / Early Childhood Program	\$0	\$1,000,000	\$1,000,000	\$2,000,000	\$2,000,000	100.0%
D	Research Funds	D.1.1	Comprehensive Research Fund	Comprehensive Research Fund	Comprehensive Research Fund	\$430,990	\$0	\$0	\$0	(\$430,990)	-100.0%

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
 TIME: 3:10:46PM

Agency code: 755

Agency name:
Stephen F. Austin State University

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program Item Priority: 1 IT Component: No Anticipated Out-year Costs: No Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1010	PROFESSIONAL SALARIES	400,000	400,000
2009	OTHER OPERATING EXPENSE	600,000	600,000
TOTAL, OBJECT OF EXPENSE		\$1,000,000	\$1,000,000

METHOD OF FINANCING:

1	General Revenue Fund	1,000,000	1,000,000
TOTAL, METHOD OF FINANCING		\$1,000,000	\$1,000,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

5.00	5.00
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DESCRIPTION / JUSTIFICATION:

A vibrant Science, Technology, Engineering, and Mathematics (STEM) workforce is vital to making Texas globally competitive. Institutions of higher education are being called upon to widen the STEM pipeline at all levels of education.

The early childhood years are particularly critical to the development of STEM concepts. Research confirms that the first five years of life account for over 90% of brain development and that the brain is particularly receptive to learning math and logic between the ages of 1 and 4.

Nationally, approximately 40% of U.S. children are not ready for kindergarten and too many children reach grade 4 lacking key science and math knowledge and skills. This deficiency is evident in Texas to the extent that the state has provided increased funding for high quality prekindergarten programs. Design of early STEM experiences is heavily dependent on the abilities of teachers to actively engage students in STEM learning.

SFA's College of Sciences and Mathematics, houses the STEM disciplinary departments and the STEM Research and Learning Center, which have a longstanding track record in research. SFA's College of Education is also known for its research in early childhood education. Therefore, we propose to combine the efforts of these two Colleges to invest our efforts in STEM teaching and learning specific to early childhood education as well as to provide professional development for early childhood educators and caregivers.

SFA is requesting \$1,000,000 per year of the biennium to engage faculty in the research, program development, and teacher preparation/professional development necessary to advance STEM early childhood education. We intend to develop best practices for the professional development of teachers and rich learning experiences for young

Agency code: **755**

Agency name:
Stephen F. Austin State University

CODE	DESCRIPTION	Excp 2018	Excp 2019
	children in preschool and kindergarten classrooms that will be sustainable and replicable across the state of Texas.		

EXTERNAL/INTERNAL FACTORS:

SFA is uniquely positioned to connect STEM education and early childhood education through the institution’s STEM Research and Learning Center and the Early Childhood Research Center.

- SFA has a distinguished track record in designing and executing high profile programs that promote education in general and STEM education in particular.
- The K-12 outreach initiative through the STEM Research and Learning Center will work with the Early Childhood Research Center’s curricular design initiative to produce programs specific to embedding the STEM disciplines in early childhood education.
- SFA has experienced recent enrollment growth of STEM majors and has successfully implemented new STEM programs.
- STEM outreach activities are currently expanding to include students who are ages 6 to 9 years of age.
- SFA’s Early Childhood Research Center has two model educational programs, the Early Childhood Laboratory (infants-PK) and the University Charter School (K-5). These programs provide a supportive, inquiry-based learning environment that promotes STEM learning.
- Funding is requested to engage teams of current SFA STEM and early childhood faculty, clinical faculty, district master teachers, and SFA graduate students to support this effort. SFA must hire two STEM education faculty and three program consultants with expertise in early childhood STEM teaching and learning in order to fully execute program design and delivery. Without funding, this will not be possible.
- SFA is uniquely positioned to disseminate best practices related to STEM early childhood education through educator preparation and ongoing professional development in both the early childhood and STEM fields.

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
 TIME: 3:10:46PM

Agency code: 755

Agency name:
Stephen F. Austin State University

CODE	DESCRIPTION	Excp 2018	Excp 2019
	Item Name: Restoration of the 4% Reduction Item Priority: 2 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	246,927	246,927
TOTAL, OBJECT OF EXPENSE		\$246,927	\$246,927

METHOD OF FINANCING:

1	General Revenue Fund	246,927	246,927
TOTAL, METHOD OF FINANCING		\$246,927	\$246,927

FULL-TIME EQUIVALENT POSITIONS (FTE):

4.00	4.00
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DESCRIPTION / JUSTIFICATION:

As required, the base request includes only 96 percent of our prior year special item funding. To comply with the required reduction, we reduced institutional enhancement funding by 4%. We request the institutional enhancement \$493,854 biennial restoration of the four percent reduction. The institutional enhancement item currently funds faculty salaries and academic support services that include counseling and career services, disability services and science curriculum and outreach coordinators. If the reduction is not restored, we would have to eliminate four positions that support academic student support services.

EXTERNAL/INTERNAL FACTORS:

Refer to Higher Ed, Schedule 9

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Maintains requested funding level

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2020	2021	2022
\$246,927	\$246,927	\$246,927

Agency code: 755 Agency name: Stephen F. Austin State University

Code	Description	Excp 2018	Excp 2019
Item Name: Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1010	PROFESSIONAL SALARIES	400,000	400,000
2009	OTHER OPERATING EXPENSE	600,000	600,000
TOTAL, OBJECT OF EXPENSE		\$1,000,000	\$1,000,000
METHOD OF FINANCING:			
1	General Revenue Fund	1,000,000	1,000,000
TOTAL, METHOD OF FINANCING		\$1,000,000	\$1,000,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		5.0	5.0

Agency code: 755 Agency name: Stephen F. Austin State University

Code	Description	Excp 2018	Excp 2019
Item Name: Restoration of the 4% Reduction			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	246,927	246,927
TOTAL, OBJECT OF EXPENSE		\$246,927	\$246,927
METHOD OF FINANCING:			
1	General Revenue Fund	246,927	246,927
TOTAL, METHOD OF FINANCING		\$246,927	\$246,927
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/14/2016
TIME: 3:10:47PM

Agency Code: **755** Agency name: **Stephen F. Austin State University**

GOAL: 3 Provide Special Item Support

OBJECTIVE: 5 Exceptional Item Request

STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2018	Excp 2019
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	246,927	246,927
1010 PROFESSIONAL SALARIES	400,000	400,000
2009 OTHER OPERATING EXPENSE	600,000	600,000
Total, Objects of Expense	\$1,246,927	\$1,246,927

METHOD OF FINANCING:

1 General Revenue Fund	1,246,927	1,246,927
Total, Method of Finance	\$1,246,927	\$1,246,927

FULL-TIME EQUIVALENT POSITIONS (FTE):

9.0	9.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Science, Technology, Engineering, and Mathematics (STEM) Education / Early Childhood Program
 Restoration of the 4% Reduction

6.A. Historically Underutilized Business Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/14/2016**
 Time: **3:10:48PM**

Agency Code: **755** Agency: **Stephen F. Austin State University**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2014 - 2015 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2014			Total Expenditures FY 2014		HUB Expenditures FY 2015			Total Expenditures FY 2015
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2015	
11.2%	Heavy Construction	11.2 %	87.4%	76.2%	\$54,015	\$61,790	11.2 %	72.6%	61.4%	\$76,026	\$104,788
21.1%	Building Construction	21.1 %	8.9%	-12.2%	\$58,125	\$650,880	21.1 %	52.0%	30.9%	\$103,300	\$198,810
32.9%	Special Trade	32.7 %	21.3%	-11.4%	\$702,694	\$3,293,679	32.9 %	26.7%	-6.2%	\$896,580	\$3,362,382
23.7%	Professional Services	23.6 %	6.4%	-17.2%	\$17,352	\$272,738	23.7 %	2.6%	-21.1%	\$7,222	\$276,289
26.0%	Other Services	24.6 %	4.3%	-20.3%	\$447,081	\$10,310,352	26.0 %	15.7%	-10.3%	\$1,519,657	\$9,676,290
21.1%	Commodities	21.0 %	14.4%	-6.6%	\$3,486,390	\$24,176,649	21.1 %	10.9%	-10.2%	\$2,781,018	\$25,551,728
	Total Expenditures		12.3%		\$4,765,657	\$38,766,088		13.7%		\$5,383,803	\$39,170,287

B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded one of six, or 16.7%, of the applicable statewide HUB procurement goals in FY 2014.
 The agency attained or exceeded two of six, or 33.3%, of the applicable statewide HUB procurement goals in FY 2015.

Applicability:

All categories are applicable to agency operations in fiscal years 2014 and 2015.

Factors Affecting Attainment:

Building Construction-FY14 & Special Trade-FY14 & FY15-goals were not met; however expenditures were for orders placed following appropriate procedures and ensuring that HUBs were given adequate opportunity to participate. Where applicable HUB Subcontracting Plans were required & reviewed for good faith effort.

Professional Services-goals were not met; however all procurements were made following selection of the most qualified vendor as per Gov't Code 2254.

Other Services-goals were not met; however procurements or contracts were secured through a small order or solicitation process that did not impose unreasonable or unnecessary contract requirements. Many Other Services procurements are made locally for which there are few if any available HUBs locally.

Commodities-goals were not met; however procurements or contracts were secured through a small order or solicitation process that did not impose unreasonable or unnecessary contract requirements.

6.A. Historically Underutilized Business Supporting Schedule
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"Good-Faith" Efforts:

The agency made the following good faith efforts to comply with statewide HUB procurement goals per 34 TAC Section 20.13(c):

-Attended economic opportunity forums, distributing information on procurement procedures in a manner that encouraged participation in agency contracts by all businesses, and providing procurement opportunities at some forums;

-Hosted HUB forums on campus

-SFA actively participates in the Texas Universities HUB Coordinators Alliance with other institutions of higher ed, collaborating on advertising and sharing best practices.

-Ensured that contract specifications, terms and conditions reflected the agency's actual requirements, were clearly stated, and did not impose unreasonable or unnecessary contract requirements

-Providing assistance to HUBs by reviewing HUB certification applications, discussing how to do business with the university, etc

Stephen F. Austin State University (755)
Estimated Funds Outside the Institution's Bill Pattern
2016-17 and 2018-19 Biennia

	2016-17 Biennium				2018-19 Biennium			
	<u>FY 2016</u> <u>Revenue</u>	<u>FY 2017</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>	<u>FY 2018</u> <u>Revenue</u>	<u>FY 2019</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGI & State Paid Fringes)	\$ 39,613,693	\$ 39,732,191	\$ 79,345,884		\$ 39,265,903	\$ 39,265,903	\$ 78,531,806	
Tuition and Fees (net of Discounts and Allowances)	14,750,000	15,000,000	29,750,000		15,100,000	15,100,000	30,200,000	
Endowment and Interest Income	55,000	55,000	110,000		50,000	50,000	100,000	
Sales and Services of Educational Activities (net)	850,000	850,000	1,700,000		950,000	975,000	1,925,000	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Other Income	10,000	10,000	20,000		5,000	5,000	10,000	
Total	55,278,693	55,647,191	110,925,884	24.7%	55,370,903	55,395,903	110,766,806	23.9%
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (HEGI & State Paid Fringes)	\$ 11,916,346	\$ 12,526,550	\$ 24,442,896		\$ 12,750,000	\$ 12,750,000	\$ 25,500,000	
Higher Education Assistance Funds	7,757,442	11,636,163	\$ 19,393,605		11,636,163	11,636,163	\$ 23,272,326	
Available University Fund	-	-	\$ -		-	-	\$ -	
State Grants and Contracts	8,698,978	8,700,000	\$ 17,398,978		8,750,000	8,750,000	\$ 17,500,000	
Total	28,372,766	32,862,713	61,235,479	13.6%	33,136,163	33,136,163	66,272,326	14.3%
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)	65,900,000	67,500,000	133,400,000		68,500,000	69,000,000	137,500,000	
Federal Grants and Contracts	25,000,000	25,200,000	50,200,000		25,000,000	25,000,000	50,000,000	
State Grants and Contracts	3,000,000	3,000,000	6,000,000		3,100,000	3,100,000	6,200,000	
Local Government Grants and Contracts	290,000	300,000	590,000		250,000	300,000	550,000	
Private Gifts and Grants	5,500,000	5,750,000	11,250,000		6,000,000	6,500,000	12,500,000	
Endowment and Interest Income	1,200,000	1,500,000	2,700,000		1,500,000	1,500,000	3,000,000	
Sales and Services of Educational Activities (net)	4,750,000	4,800,000	9,550,000		4,850,000	4,900,000	9,750,000	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Professional Fees (net)	-	-	-		-	-	-	
Auxiliary Enterprises (net)	31,200,000	32,000,000	63,200,000		32,500,000	33,500,000	66,000,000	
Other Income	185,000	185,000	370,000		190,000	190,000	380,000	
Total	137,025,000	140,235,000	277,260,000	61.7%	141,890,000	143,990,000	285,880,000	61.8%
TOTAL SOURCES	\$ 220,676,459	\$ 228,744,904	\$ 449,421,363	100.0%	\$ 230,397,066	\$ 232,522,066	\$ 462,919,132	100.0%

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	

1 Salaries and Benefits - 5%

Category: Administrative - FTEs / Hiring and Salary Freeze

Item Comment: As a result of past general revenue budget and funding reductions, Stephen F. Austin State University would likely have to reduce its workforce in order to manage another reduction in state appropriations. Past funding reductions impacted positions in academic and student support areas. The 5% appropriation reduction would adversely impact the university's ability to deliver current academic and student services.

Strategy: 3-4-1 Institutional Enhancement

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$296,312	\$296,312	\$592,624
General Revenue Funds Total	\$0	\$0	\$0	\$296,312	\$296,312	\$592,624
Item Total	\$0	\$0	\$0	\$296,312	\$296,312	\$592,624

FTE Reductions (From FY 2018 and FY 2019 Base Request) **4.0** **4.0**

2 Salaries and Benefits - 5%

Category: Administrative - FTEs / Hiring and Salary Freeze

Item Comment: As a result of past general revenue budget and funding reductions, Stephen F. Austin State University would likely have to reduce its workforce in order to manage another reduction in state appropriations. Past funding reductions impacted positions in academic and student support areas. The 5% appropriation reduction would adversely impact the university's ability to deliver current academic and student services.

Strategy: 3-4-1 Institutional Enhancement

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$296,313	\$296,312	\$592,625
General Revenue Funds Total	\$0	\$0	\$0	\$296,313	\$296,312	\$592,625
Item Total	\$0	\$0	\$0	\$296,313	\$296,312	\$592,625

FTE Reductions (From FY 2018 and FY 2019 Base Request) **4.0** **4.0**

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Date: 10/14/2016

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Agency code: 755 Agency name: **Stephen F. Austin State University**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2018	2019	Biennial Total	2018	2019	Biennial Total	
AGENCY TOTALS							
General Revenue Total				\$592,625	\$592,624	\$1,185,249	\$1,185,249
Agency Grand Total	\$0	\$0	\$0	\$592,625	\$592,624	\$1,185,249	\$1,185,249
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2018 and FY 2019 Base Request)				8.0	8.0		

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	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
Gross Tuition					
Gross Resident Tuition	15,717,876	15,678,277	15,646,920	15,700,000	15,700,000
Gross Non-Resident Tuition	5,698,919	6,703,280	6,775,811	6,800,000	6,850,000
Gross Tuition	21,416,795	22,381,557	22,422,731	22,500,000	22,550,000
Less: Resident Waivers and Exemptions (excludes Hazlewood)	(299,770)	(260,359)	(273,377)	(280,000)	(280,000)
Less: Non-Resident Waivers and Exemptions	(2,186,314)	(2,918,438)	(3,064,360)	(3,050,000)	(3,060,000)
Less: Hazlewood Exemptions	(701,148)	(736,221)	(773,032)	(770,000)	(770,000)
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(868,269)	(890,844)	(975,000)	(950,000)	(950,000)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	(1,531,466)	(1,683,912)	(1,500,000)	(1,500,000)	(1,500,000)
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(440,000)	(454,000)	(445,000)	(445,000)	(445,000)
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	0	0	0	0	0
Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	(265,300)	(276,520)	(250,000)	(250,000)	(250,000)
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	15,124,528	15,161,263	15,141,962	15,255,000	15,295,000
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(2,021,427)	(2,024,297)	(2,025,000)	(2,025,000)	(2,025,000)
Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical Schools)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	0	0	0	0	0
Less: Other Authorized Deduction					
Net Tuition	13,103,101	13,136,966	13,116,962	13,230,000	13,270,000

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	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
Student Teaching Fees	0	0	0	0	0
Special Course Fees	192,862	203,321	195,000	195,000	195,000
Laboratory Fees	208,331	203,910	200,000	200,000	200,000
Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions)	13,504,294	13,544,197	13,511,962	13,625,000	13,665,000
OTHER INCOME					
Interest on General Funds:					
Local Funds in State Treasury	32,294	70,265	35,000	35,000	35,000
Funds in Local Depositories, e.g., local amounts	0	0	0	0	0
Other Income (Itemize)					
Miscellaneous Revenue	40	50	30	30	30
Subtotal, Other Income	32,334	70,315	35,030	35,030	35,030
Subtotal, Other Educational and General Income	13,536,628	13,614,512	13,546,992	13,660,030	13,700,030
Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls	(849,880)	(849,861)	(866,786)	(896,782)	(914,718)
Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds	(761,074)	(771,498)	(786,928)	(802,666)	(802,666)
Less: Staff Group Insurance Premiums	(2,856,126)	(3,073,534)	(3,104,269)	(3,135,312)	(3,166,665)
Total, Other Educational and General Income (Formula Amounts for General Academic Institutions)	9,069,548	8,919,619	8,789,009	8,825,270	8,815,981
Reconciliation to Summary of Request for FY 2015-2017:					
Plus: Transfer of Funds for Texas Public Education Grants Program and Physician Loans	2,021,427	2,024,297	2,025,000	2,025,000	2,025,000
Plus: Transfer of Funds 2% for Physician/Dental Loans (Medical Schools)	0	0	0	0	0
Plus: Transfer of Funds for Cancellation of Student Loans of Physicians	0	0	0	0	0
Plus: Organized Activities	1,034,911	869,149	905,000	905,000	905,000
Plus: Staff Group Insurance Premiums	2,856,126	3,073,534	3,104,269	3,135,312	3,166,665
Plus: Board-authorized Tuition Income	868,269	890,844	975,000	950,000	950,000
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0

Schedule 1A: Other Educational and General Income

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	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	1,531,466	1,683,912	1,500,000	1,500,000	1,500,000
Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065)	440,000	454,000	445,000	445,000	445,000
Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	265,300	276,520	250,000	250,000	250,000
Less: Tuition Waived for Students 55 Years or Older	0	0	0	0	0
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Total, Other Educational and General Income Reported on Summary of Request	18,087,047	18,191,875	17,993,278	18,035,582	18,057,646

Schedule 2: Selected Educational, General and Other Funds

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	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	0	0	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2015, 2016, 2017)	82,180	82,136	84,451	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	227,078	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2015, 2016, 2017)	0	0	0	0	0
Other (Itemize)					
Other: Fifth Year Accounting Scholarship	24,707	26,435	25,000	0	0
Texas Grants	7,757,625	8,177,018	7,572,992	0	0
B-on-Time Program	1,562,983	0	0	0	0
Less: Transfer to System Administration	0	0	0	0	0
Subtotal, General Revenue Transfers	9,427,495	8,512,667	7,682,443	0	0
General Revenue HEF for Operating Expenses	0	0	0	0	0
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2015, 2016, 2017)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	8,464,612	2,580	0	0	0
Transfer from Coordinating Board for Incentive Funding	0	0	0	0	0
Other (Itemize)					
License Plate Insignia	10,053	11,045	8,500	0	0
Gross Designated Tuition (Sec. 54.0513)	59,383,615	64,374,292	62,784,000	64,000,000	64,000,000
Indirect Cost Recovery (Sec. 145.001(d))	308,192	295,000	305,000	305,000	305,000

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	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
Correctional Managed Care Contracts	0	0	0	0	0

Schedule 3A: Staff Group Insurance Data Elements (ERS)
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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %		74.86%			
GR-D/Other %		25.14%			
Total Percentage		100.00%			
FULL TIME ACTIVES					
1a Employee Only	327	245	82	327	509
2a Employee and Children	144	108	36	144	125
3a Employee and Spouse	94	70	24	94	80
4a Employee and Family	138	103	35	138	97
5a Eligible, Opt Out	2	1	1	2	0
6a Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	705	527	178	705	811
PART TIME ACTIVES					
1b Employee Only	2	1	1	2	10
2b Employee and Children	1	1	0	1	0
3b Employee and Spouse	0	0	0	0	0
4b Employee and Family	0	0	0	0	0
5b Eligible, Opt Out	0	0	0	0	1
6b Eligible, Not Enrolled	26	19	7	26	273
Total for This Section	29	21	8	29	284
Total Active Enrollment	734	548	186	734	1,095

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	327	245	82	327	509
2e Employee and Children	144	108	36	144	125
3e Employee and Spouse	94	70	24	94	80
4e Employee and Family	138	103	35	138	97
5e Eligible, Opt Out	2	1	1	2	0
6e Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	705	527	178	705	811

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	329	246	83	329	519
2f Employee and Children	145	109	36	145	125
3f Employee and Spouse	94	70	24	94	80
4f Employee and Family	138	103	35	138	97
5f Eligible, Opt Out	2	1	1	2	1
6f Eligible, Not Enrolled	26	19	7	26	273
Total for This Section	734	548	186	734	1,095

Schedule 4: Computation of OASI
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Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2015		2016		2017		2018		2019	
	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	74.5830	\$2,493,867	74.8579	\$2,530,370	74.8600	\$2,581,050	74.5000	\$2,620,010	74.5000	\$2,672,410
Other Educational and General Funds (% to Total)	25.4170	\$849,880	25.1421	\$849,861	25.1400	\$866,786	25.5000	\$896,782	25.5000	\$914,718
Health-Related Institutions Patient Income (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Grand Total, OASI (100%)	100.0000	\$3,343,747	100.0000	\$3,380,231	100.0000	\$3,447,836	100.0000	\$3,516,792	100.0000	\$3,587,128

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

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Description	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	22,303,727	22,474,495	22,923,985	23,052,359	23,052,359
Employer Contribution to TRS Retirement Programs	1,516,653	1,528,266	1,558,831	1,567,560	1,567,560
Gross Educational and General Payroll - Subject To ORP Retirement	22,389,347	23,341,528	23,808,359	23,941,686	23,941,686
Employer Contribution to ORP Retirement Programs	1,477,697	1,540,541	1,571,352	1,580,151	1,580,151
Proportionality Percentage					
General Revenue	74.5830 %	74.8600 %	74.8600 %	74.5000 %	74.5000 %
Other Educational and General Income	25.4170 %	25.1400 %	25.1400 %	25.5000 %	25.5000 %
Health-related Institutions Patient Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	761,074	771,498	786,928	802,666	802,666
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Differential Percentage	1.9000 %	1.9000 %	1.9000 %	1.9000 %	1.9000 %
Gross Payroll Subject to Differential - Optional Retirement Program	7,208,000	6,956,278	7,095,404	7,095,404	7,095,404
Total Differential	136,952	132,169	134,813	134,813	134,813

Schedule 6: Constitutional Capital Funding
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/14/2016 3:10:53PM

755 Stephen F. Austin State University					
Activity	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
A. PUF Bond Proceeds Allocation	0	0	0	0	0
Project Allocation					
Library Acquisitions	0	0	0	0	0
Construction, Repairs and Renovations	0	0	0	0	0
Furnishings & Equipment	0	0	0	0	0
Computer Equipment & Infrastructure	0	0	0	0	0
Reserve for Future Consideration	0	0	0	0	0
Other (Itemize)					
B. HEF General Revenue Allocation	8,425,937	7,757,442	11,636,136	11,636,136	11,636,136
Project Allocation					
Library Acquisitions	1,118,397	1,253,539	1,450,000	1,450,000	1,450,000
Construction, Repairs and Renovations	1,115,782	2,219,582	2,500,000	2,500,000	2,500,000
Furnishings & Equipment	1,029,127	745,539	992,493	1,094,418	1,101,030
Computer Equipment & Infrastructure	1,282,487	859,459	1,500,000	1,500,000	1,500,000
Reserve for Future Consideration	916,820	453,469	0	0	0
HEF for Debt Service	1,978,275	1,888,976	1,983,643	1,981,718	1,975,106
Other (Itemize)					
HEF Annual Allocations					
Other Fees and Charges	6,981	5,664	10,000	20,000	20,000
University Vehicles	0	240,729	250,000	250,000	250,000
Purchase of Buildings	0	0	250,000	0	0
Software	111,299	41,939	150,000	150,000	150,000
Telecommunications Infrastructure	503,422	0	800,000	940,000	940,000
Contracted Services	302,646	11,380	250,000	250,000	250,000
Supplies and Materials	60,701	37,166	1,500,000	1,500,000	1,500,000

Schedule 7: Personnel
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2016
 Time: 3:10:53PM

Agency code: **755** Agency name: **Stephen F. Austin State University**

	Actual 2015	Actual 2016	Budgeted 2017	Estimated 2018	Estimated 2019
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	379.5	380.0	380.0	380.0	380.0
Educational and General Funds Non-Faculty Employees	313.8	315.0	315.0	312.0	312.0
Subtotal, Directly Appropriated Funds	693.3	695.0	695.0	692.0	692.0
Non Appropriated Funds Employees	1,064.9	1,191.7	1,210.0	1,210.0	1,210.0
Subtotal, Other Funds & Non-Appropriated	1,064.9	1,191.7	1,210.0	1,210.0	1,210.0
GRAND TOTAL	1,758.2	1,886.7	1,905.0	1,902.0	1,902.0
Part B.					
Personnel Headcount					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	454.0	356.0	356.0	356.0	356.0
Educational and General Funds Non-Faculty Employees	502.0	259.0	259.0	259.0	259.0
Subtotal, Directly Appropriated Funds	956.0	615.0	615.0	615.0	615.0
Non Appropriated Funds Employees	2,016.0	2,390.0	2,426.0	2,426.0	2,426.0
Subtotal, Non-Appropriated	2,016.0	2,390.0	2,426.0	2,426.0	2,426.0
GRAND TOTAL	2,972.0	3,005.0	3,041.0	3,041.0	3,041.0

Schedule 7: Personnel
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/14/2016
 Time: 3:10:53PM

Agency code: **755** Agency name: **Stephen F. Austin State University**

	Actual 2015	Actual 2016	Budgeted 2017	Estimated 2018	Estimated 2019
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	\$34,689,808	\$34,411,162	\$36,456,806	\$36,456,806	\$36,456,806
Educational and General Funds Non-Faculty Employees	\$12,511,554	\$10,875,618	\$9,942,097	\$9,942,097	\$9,942,097
Subtotal, Directly Appropriated Funds	\$47,201,362	\$45,286,780	\$46,398,903	\$46,398,903	\$46,398,903
Non Appropriated Funds Employees	\$45,240,104	\$48,702,054	\$46,430,921	\$46,430,921	\$46,430,921
Subtotal, Non-Appropriated	\$45,240,104	\$48,702,054	\$46,430,921	\$46,430,921	\$46,430,921
GRAND TOTAL	\$92,441,466	\$93,988,834	\$92,829,824	\$92,829,824	\$92,829,824

Schedule 8B: Tuition Revenue Bond Issuance History

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Automated Budget and Evaluation System of Texas (ABEST)

755 Stephen F. Austin State University

Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2016	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1998	\$6,000,000	Sep 1 1998	\$6,000,000			
		<i>Subtotal</i>	\$6,000,000	\$0		
2002	\$14,070,000	Jun 15 2002	\$14,070,000			
		<i>Subtotal</i>	\$14,070,000	\$0		
2006	\$30,178,000	Feb 7 2008	\$20,175,000			
		Feb 4 2009	\$9,995,850			
		<i>Subtotal</i>	\$30,170,850	\$7,150		
2007	\$13,000,000	Feb 4 2009	\$12,998,725			
		<i>Subtotal</i>	\$12,998,725	\$1,275		
2015	\$46,400,000				Nov 30 2016	\$46,400,000

Schedule 8C: Revenue Capacity for Tuition Revenue Bond Projects

DATE: 10/14/2016
TIME: 3:10:54PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 755 Agency Name: Stephen F. Austin State University

	Act 2015	Act 2016	Bud 2017	Est 2018	Est 2019
Gross Tuition	\$21,416,795	\$22,491,780	\$22,432,310	\$22,500,000	\$22,550,000
Less: Remissions and Exemptions	(3,187,232)	(3,905,885)	(4,101,180)	(4,100,000)	(4,110,000)
Less: Refunds	0	0	0	0	0
Less: Installment Payment Forfeits	0	0	0	0	0
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(440,000)	(447,000)	(445,000)	(445,000)	(445,000)
Plus: Tuition waived for students 55 years or older (TX. Educ. Code Ann. Sec. 54.013)	0	0	0	0	0
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	\$17,789,563	\$18,138,895	\$17,886,130	\$17,955,000	\$17,995,000
Less: Transfer of Funds for Texas Public Education Grants Program (TX. Educ. Code Ann. Sec. 56c) and for Emergency Loans (TX. Educ. Code Ann. Sec. 56d)	(2,021,427)	(2,100,000)	(2,100,000)	(2,100,000)	(2,100,000)
Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical School)	0	0	0	0	0
Less: Statutory Tuition (TX. Educ. Code Ann. Sec. 54.051) Set aside for Doctoral Incentive Loan Repayment Program (TX. Educ. Code Ann. Sec. 56.095)	0	0	0	0	0
Less: Other Authorized Deductions	(2,665,035)	(2,966,469)	(2,725,000)	(2,700,000)	(2,700,000)
Total Net Tuition Available to Pledge for Tuition Revenue Bonds	\$13,103,101	\$13,072,426	\$13,061,130	\$13,155,000	\$13,195,000
Debt Service on Existing Tuition Revenue Bonds	(4,439,181)	(4,320,006)	(4,305,388)	(3,856,275)	(3,851,575)
Estimated Debt Service for Authorized but Unissued Tuition Revenue Bonds	0	0	(3,588,841)	(3,588,841)	(3,588,841)
Subtotal, Debt Service on Existing Authorizations	\$(4,439,181)	\$(4,320,006)	\$(7,894,229)	\$(7,445,116)	\$(7,440,416)
TOTAL TUITION AVAILABLE FOR NEW AUTHORIZATIONS	\$8,663,920	\$8,752,420	\$5,166,901	\$5,709,884	\$5,754,584
Debt Capacity Available for New Authorizations	\$99,384,060	\$100,390,255	\$59,264,349	\$65,492,369	\$66,005,078

Schedule 8D: Tuition Revenue Bonds Request by Project
 85th Regular Session, Agency Submission, Version 1

Agency Code: 755

Agency Name: **Stephen F. Austin State University**

Project Name	Authorization Year	Estimated Final Payment Date	Requested Amount 2018	Requested Amount 2019
Telecommunications Building and Equipment	2002	10/15/2021	\$ 970,750.00	\$ 973,250.00
Early Childhood Research Center (ECRC)	2006	10/15/2027	\$ 1,282,550.00	\$ 1,274,375.00
Deferred Maintenance	2006	10/15/2028	\$ 656,881.00	\$ 657,950.00
Nursing Building	2007	10/15/2028	\$ 946,094.00	\$ 946,000.00
Science, Technology, Engineering, & Math (STEM) Building	2015	10/15/2036	\$ 3,588,841.00	\$ 3,588,841.00
			\$ 7,445,116.00	\$ 7,440,416.00

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Special Item: 1 **Stone Fort Museum**

(1) Year Special Item: 1965
Original Appropriations: \$7,500

(2) Mission of Special Item:

The Stone Fort Museum is an educational center serving Stephen F. Austin State University and regional community through interdisciplinary, collaborative research, service learning projects, and educational programs. Its goal is to support the mission of the university and the preservation of eastern Texas history. As an academic support unit of the university, the Museum functions as a center within the College of Liberal and Applied Arts. The Museum's unit objectives are linked to the mission of SFA in four areas: Education Services, Research & Interpretation, Civic Engagement and Resource Management.

(3) (a) Major Accomplishments to Date:

A major installation for 2015, Misery & Remedy: The Rise & Eradication of Epidemic Diseases in East TX involved 23 institut. & private lenders from California to Maine & 144 objects that together emphasized the importance of perseverance & innovation in addressing societal challenges. Guided activities built for K12 incorporated science & math as a means to reinforce STEM teaching & to encourage interdisciplinary connections. Informal learning activities utilized historic collections to teach concepts such as simple machines & inventions. The 2016 exhibit, El Camino Real de los Tejas & the Building of Nacogdoches: 1716–2016, along with completion of the Spanish Colonial Demonstration Garden & the wayside exhib., Planting History, was a collaborative project celebrating the city's tercentennial & the importance of the Camino Real in the develop. of the city. Designated a high-priority site along El Camino Real de los Tejas Natl. Historic Trail, the museum & garden provided patrons a tangible link with the trail's history. Operations provided ongoing svc.-learning opportunities through classroom projects, internships & volunteer opportunities involving students of various degree programs. In 2015, the museum acquired donation of a rare quarter-plate ambrotype of the stone house, & began evaluation & stabilization of natural fiber textile collections. Annually, museum programs reached 12,000 life-long learners onsite, in the classroom & through regional community events, a 20% increase over the previous bienn.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

In the upcoming biennium, staff and partners will update the museum's institutional plan that will promote organizational focus in a number of areas, including, strengthening alliances with university departments and the regional community, enhancing opportunities for external funding and prioritizing resources. Interpretive accomplishments planned for the period include a major exhibit developed in-house focusing on crime and punishment in early East Texas and a project titled, Inside-Out, intended to reduce barriers to collections and their stories through outside and off-site pop-up displays. Working cooperatively with other museums and heritage sites in the region, Inside-Out is intended to engage new audiences and increase programmatic support. All programs are the product of staff research and provide opportunities through internships and faculty-directed research for university students to enhance research, development, and project programming skills critical to success.

(4) Funding Source Prior to Receiving Special Item Funding:

Auxiliary funds ; 1980-81 special gifts

(5) Formula Funding:

N

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(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

Federal funding, museum store sales, program fees, and private donations

(9) Consequences of Not Funding:

Special Item funding for the Stone Fort Museum provides a critical base from which to build institutional capacity. Loss of funding would severely curtail the Museum's ability to provide the educational, conservation and research functions essential to its mission. Service learning opportunities that support the academic program and professional development opportunities for teachers and heritage resource professionals would cease. The vital role of East Texas in the development of the State and the historic traditions of the region would be lost to a generation of students and citizens without the preservation of relevant collections and distribution of associated educational products.

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Special Item: 2 **Rural Nursing Initiative**

(1) Year Special Item: 2006
Original Appropriations: \$843,260

(2) Mission of Special Item:

The mission of the Rural Nursing Initiative is to address the shortage of nurses in rural East Texas by increasing the number of students admitted into the nursing program. Since nurses who train in rural areas tend to remain in rural areas, funding for this item would permit additional students to be admitted to the baccalaureate program and will thereby increase the number of nurses in the East Texas region. This is a continuation of the current project.

(3) (a) Major Accomplishments to Date:

(1)The number of graduates for the past four academic years (Fall/Spring) are as follows:

Fall 2012/Spring 2013: 118

Fall 2013/Spring 2014: 116

Fall 2014/Spring 2015: 130

Fall 2015/Spring 2016: 130

Graduation rate data from THECB AY 2012-2013 – 83% ; AY 2013-2014 – 91% (most current data). We are improving completion and getting more nurses into the workforce.

(2) Improvement in first-time NCLEX pass rate (goal from last cycle). Data from the past three academic years:

Fall 2012/Spring 2013: 87.29%

Fall 2013/Spring 2014: 88.14%

Fall 2014/Spring 2015: 86.36%

Fall 2015: 95%

The National Council of State Boards of Nursing increased the difficulty of the NCLEX-RN significantly in April 2013. National pass rates for first time test takers from that time period dropped from 92.74% in 2012 to 83% in 2013. A drop is expected when increasing the difficulty level, but not this steep. An NCLEX review was offered on campus but was not required until the Fall 2015 cohort. At this time we began requiring the NCLEX Review and instituted a Webinar series in the Capstone course as well as increasing the complexity of Simulations in that course to help students synthesize and apply knowledge. The first group under this plan had a 95% pass rate, the second group will begin testing in June 2016.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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(1) Stabilize the number of prelicensure students admitted at 80-90 per semester.

Fall 2014 – 76 Spring 2015 – 54 Fall 2015 – 77 Spring 2016 – 65 Fall 2016 – pending – 80-85. Should any drop off the admission list we have a wait-list of 15.

Admission of qualified students varies widely by semester with more qualified students applying for fall semesters. Through more intense advising and mentoring of pre-nursing students, we hope to increase the numbers, particularly for spring admits. For Fall 2016, we offered 85 places without increasing faculty. The faculty have done some very creative work using the Simulation Lab for the past year, allowing us to admit more students. Increase in clinical space ties to #2 below.

(2) Continue to make meaningful use of the Ed and Gwen Cole Simulation Lab to diversify student experiences, increase confidence, and better prepare for practice.

(3) Obtain approval for a Master's program (Family Nurse Practitioner). The Board of Regents has approved going forward, a proposal is currently with THECB and SACS and is being readied to present to the Texas Board of Nursing. We believe that having a Master's program will improve the health of East Texans and will make all nursing programs more visible as SFASU. This in turn will help increase our BSN applicant pool.

(4) Funding Source Prior to Receiving Special Item Funding:

This was a new Special Item which allowed SFA to expand its nursing program in an effort to assist the State of Texas with the major nursing shortage.

(5) Formula Funding:

Y

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

Lack of funding for this initiative would create an inability to meet the growing need for qualified nurses in the East Texas area. This funding has been instrumental in our current growth. Were funding to be cut, we would have to decrease the number of faculty which would mean decreasing enrollment to meet Board of Nursing clinical guidelines.

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Special Item: 3 **Center for Applied Studies in Forestry**

(1) Year Special Item: 1980
Original Appropriations: \$400,000

(2) Mission of Special Item:

The Center for Applied Studies in Forestry (CASF) in the Arthur Temple College of Forestry and Agriculture is dedicated to applied research that delivers working solutions to the economic and ecological challenges associated with forest resources in Texas. It has existed since 1980 and serves a diverse clientele, providing information not available at any other location in the state. The center provides essential knowledge and expertise required to manage, protect and conserve forest and environmental resources in Texas and to promote sustainable economic development. The Center conducts integrated programs of interdisciplinary research, graduate education, training for professional foresters, and provides services to a broad range of clientele groups.

(3) (a) Major Accomplishments to Date:

The CASF Special Item is essential in providing matching funds for federal funds under the McIntire-Stennis Act of 1962 and for leveraging other external funding. During each of the most recent three fiscal years, the Center has obtained about \$4 in leveraged funds for each dollar of Special Item funding provided. Funds provided by the CASF, together with other leveraged funds, have supported the College's graduate program since its inception. Major accomplishments to date include the identification and testing of endocides (endogenous biocides) as an environmentally safe means to control invasive plants in Texas forests, lakes, and rivers; invasive plant species negatively impact both the economic and the ecologic functions of our state's forests and water resources. CASF accomplishments include developing effective means to ensure the regeneration of hardwood and pine stands with desirable species exhibiting maximum growth potential, as well as, strategies to establish and restore the ecological functions of longleaf pine forests, oak savannahs, and bottomland hardwood wetlands. Another accomplishment was the use of geospatial science to study the implications of land ownership changes, population growth, and increasing fragmentations of forested areas on the ecology and the economy of Texas.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

CASF funds are leveraged with federal and grant funds supporting projects that cross a range of activities and expected accomplishments. The forest resources of interest in our studies in Texas include urban forests, urban-wildland interfaces, wildlife, water, and forest recreation, as well as, traditional timber and wood product. As a means to promote forest establishment and conservation, studies will be initiated on how best to compensate forestland owners for ecosystem services such as maintaining critical wildlife habitat and water quality. Studies will continue on developing cost effective and time efficient approaches to inventorying and monitoring an array of economically and ecologically critical forest resources using geospatial and drone technologies. Habitat conditions will be studied and requirements recommended for threatened and endangered wildlife species. Species-specific mechanisms will be studied to control invasive plants and insects using means that are environmentally benign. Streamside management zone recommendations across multiple forest site conditions will be developed to enhance water quantity and quality.

(4) Funding Source Prior to Receiving Special Item Funding:

Funding from 1962-79 was through Gen Revenues (Forestry Research/Water Pollution Research). In 1980, Special Item funds were provided for the establishment of Center for Applied Studies by the 66th Legislature.

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(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

The College of Forestry and Agriculture would lose hundreds of thousands of dollars from Federal and private research programs that require matching funds. A lack of CASF funding will lead to the elimination of critical forestry and natural resources research and the elimination of multiple faculty positions. Due to the loss of faculty, non-funding would result in a loss of the Society of American Foresters accredited teaching program.

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Special Item: 4 **Institutional Enhancement**

(1) Year Special Item: 2000
Original Appropriations: \$2,007,919

(2) Mission of Special Item:

Institutional Enhancement funding is used to attract, hire, and retain qualified faculty members who are committed to quality classroom instruction and research.

(3) (a) Major Accomplishments to Date:

These funds have been integrated into the university's most fundamental and important operations

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continued funding would provide the ability to maintain current levels of faculty and staff over the next two years.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

This funding has been utilized to add faculty in growing programs, enhance faculty and staff salaries institutionally and to support many of the university's core operations. Removal of this funding would greatly hurt the efforts the university has made in attracting and retaining qualified faculty.

755 Stephen F. Austin State University

Special Item: 5 **Applied Poultry Studies and Research**

(1) Year Special Item: 2000
Original Appropriations: \$87,821

(2) Mission of Special Item:

The purpose of this funding is to provide continued support of research, service, and teaching to the poultry industry of the East Texas Region and its allied industries. Research studies are designed to improve the economic efficiency within the integrated poultry industry by continuously improving production parameters, meat yields, and poultry grower management practices. The economics of the poultry industry in East Texas is an estimated 10 to 20 million dollars annually. The Poultry Research Center is in a very unique situation to provide important services to the poultry industry and is the only of its kind in the East Texas Region.

(3) (a) Major Accomplishments to Date:

The major accomplishments to date include the dissemination of research findings from 6 research projects to the integrated poultry industry and its supporters on local, regional, state and national levels. This past year alone research findings were presented at numerous poultry industry meetings, as well as, research meeting in other disciplines such as Occupational Health and Hygiene and Agricultural Engineering. Another vital accomplishment is the teaching of undergraduate and graduate students to provide well prepared graduates for the integrated and allied poultry industries. Our graduates are highly sought after because of the practical “hands-on” experiences they receive through this program via the Poultry Research Center. Finally, the Poultry Research Center is used for several yearly service programs and meetings that directly benefit the poultry industry and other agriculture related industries.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Plans are to continue to expand cooperative efforts with the allied poultry industry through field research and service learning. Furthermore, the Poultry Research Center will be absolutely vital for the preparation of career employees to fill management positions in the poultry industry. Our position as a poultry research center and commercial poultry grower provides us with unique opportunities to prepare our students for a robust career, while providing scientific and practical information to both industry representatives and poultry growers (farmers). Without the funding to support this Center we would not be able to achieve these accomplishments.

(4) Funding Source Prior to Receiving Special Item Funding:

Special Item Funding

(5) Formula Funding:
N

(6) Startup Funding:
N

(7) Transition Funding:
N

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(8) Non-general Revenue Sources of Funding:

Special item funding has continued to provide opportunities to leverage funds from both private and governmental funding agencies. This past year research projects were funded by Midori, Inc., Aster Bio, Inc., Once Innovations, Inc., Natural Resource Conservation Service (NRCS), and the Department of Health and Human Services (CDC).

(9) Consequences of Not Funding:

The Poultry Research Center would not be able to function at the level it currently does without these funds. The Center's ability to provide services would be severely limited. Our ability to supply hands-on knowledge to our students would be greatly diminished and this would be very detrimental to our student's ability to find employment in the poultry and allied industries. It becomes extremely difficult to secure research funding to cover all of the associated costs of daily operations and research activities at the Poultry Research Center. Without these funds, we would be limited on our ability to secure additional research and grant funding. The facilities located at the Poultry Research Center need updates and replacements due to age and usefulness and these funds are crucial to keeping the facilities and equipment functional and modernized. If we are not able to update and keep our facilities modernized, we will not be able to teach our students the current technologies, nor would we be able to conduct useful research for the poultry industry. This would be crippling to our program, our department, and our university.

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Special Item: 6 **Soil, Plant, and Water Analysis Lab**

(1) Year Special Item: 1962
Original Appropriations: \$100,000

(2) Mission of Special Item:

The mission of the Soil, Plant and Water Analysis laboratory involves Public Service, Research, and Instructional Support in analysis of soils, plant tissue, animal wastes, water quality and the development of new plant materials which supports diversity in landscapes of Texas. This comprehensive program supports combined regional agricultural hay, poultry, livestock, dairy and nursery industries with an estimated value of well over a billion dollars.

(3) (a) Major Accomplishments to Date:

The Soil, Plant & Water Analysis Lab, which includes the SFA Gardens, has a long history of service to East Texas with its unique soil types and nutrient requirements. The Mast Arboretum continues to introduce new plant materials that are becoming a part of the nursery/landscape trade. In the past year, over 10,000 K-12 students were involved with environmental education programming. In-service training was also provided for K-12 teachers. The Mast Arboretum, the Ruby Mize Azalea Garden, Gayla Mize Garden, Jimmy Hinds Park & the Pineywoods Native Plant Cntr. continue to enjoy visitation and gain regional support. Collaborative work includes ongoing work with China. Over the past 5 years the Soil, Plant, & Water Analysis Lab has averaged on an annual basis about 4,000 regular soil samples for farmers & home owners, about 700 samples of forage (hay) and 500 samples of manure (broiler litter), and about 250 water and special tests. The lab provides approx. 2500 research samples per year for faculty and graduate students across campus. The Woodash from biomass electric generation evaluation as a lime & potassium source is continuing and has moved from greenhouse pot studies to field plot experiments. New instruments (value \$132,000) were purchased during the past year with Lab & University funds, keeping the Lab on the cutting edge of instrumentation. Unbiased info. soil amendment materials & practices as well as on salt water spills from oil and gas production is being provided.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The Woodash from biomass electric generation evaluation as a lime & potassium source continues & has moved from greenhouse pot studies to field plot experiments. We expect to make recommendations to farmers & the generating companies about the use and value of ash. These recommendations will help reduce the amount of waste material sent to landfills & provide economical sources of liming agents and fertilizer nutrients to farmers. The instruments in the Lab support Faculty & graduate students across campus. The SFA Gardens which is a part of the soil, water, & plant analysis lab funding expects major accomplishments in the near future include developing a local ecotype seed source for native pollinator plants & promoting the use of sustainable landscape plants and plant communities. In cooperation with current breeding program partners, the Gardens will continue its ornamental plant evaluation program for woody & herbaceous plant material for introduction into the nursery industry. The Gardens will be able to hire student assistants who will learn nursery production, propagation & public garden mgmt. Garden funds will leverage salary of 1 FTE responsible for an environmental education program reaching over 10,000 K-12 students with programs that teach State of TX Science (TEKS) and STAAR test standards through hands-on outdoor experiential activities. Outreach horticulture programming will also reach 14,000 adults & estimated total public participation/visitation will be 50,000.

(4) Funding Source Prior to Receiving Special Item Funding:

Special Item Funding

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(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

University operation and maintenance funds; research funding from private sources.

George and Fay Young Foundation - \$25,000

US Fish & Wildlife Service - \$15,000

USDA, Agricultural Research Service – Blueberry Germplasm Research - \$5,000

Fees charged for routine Lab services and for various analyses of research samples- \$75,000 per year

(9) Consequences of Not Funding:

A majority of the significant work accomplished at the Soil, Plant and Water analysis along with the SFA Gardens would simply not be possible without continued funding of these special items. Continued funding is critical for timely analyses and for the capacity for water research. Failure to fund this special item would severely limit the ability to provide timely test results to farmers and ranchers in the region and reduce the University's research capacity. Many graduate students would be unable to carry out thesis research. The nursery/landscape industry would also be affected by a reduction in services provided.

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Special Item: 7 **STEM Education / Early Childhood Program - (Exceptional Item Request)**

(1) Year Special Item: 2018
Original Appropriations: \$1,000,000

(2) Mission of Special Item:

To advance a strong educational foundation in science, technology, engineering and mathematics (STEM) to encompass early childhood education and give every child an equal start.

(3) (a) Major Accomplishments to Date:

SFA is perfectly positioned to connect STEM education and early childhood education through the STEM Research and Learning Center and the Early Childhood Research Center. The K-12 outreach initiative through the STEM Research and Learning Center coupled with the Early Childhood Research Center's curricular design to develop intellectual and personal competence is an optimal partnership in creating a program specific to embedding the STEM disciplines in early childhood education. Grounded in the development of educational models that work, SFA has a distinguished track record in designing and executing high profile programs that promote education in general and STEM education in particular. Growth in the number of SFA STEM majors over the past 5 years. STEM outreach activities for K-12 through the STEM Research and Learning Center expanded to include students of ages 6 – 9 in Little Jacks STEM Camp. Reputation throughout the state of the SFA Early Childhood Research Center and SFA early childhood and other educator preparation programs.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Implementation of high impact practices in STEM education designed by experts in both STEM and early childhood education. To develop best practices for the professional development of teachers and rich learning experiences for young children in preschool and kindergarten classrooms that will be sustainable and replicable across the state of Texas.

(4) Funding Source Prior to Receiving Special Item Funding:

The College of Sciences and Math has a longstanding track record in grant acquisition with the National Science Foundation and THECB. Grants have now expired and it is optimal timing to invest in STEM education.

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

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(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

Without funding it will not be possible to pull together teams of SFA STEM and early childhood faculty, clinical faculty, master teachers and graduate students who are truly highly qualified.

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Special Item: 8 **Restoration of the 4% Reduction - (Exceptional Item Request)**

(1) Year Special Item: 2018
Original Appropriations: \$246,927

(2) Mission of Special Item:

See Admin Statement

(3) (a) Major Accomplishments to Date:

N/A

(3) (b) Major Accomplishments Expected During the Next 2 Years:

N/A

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

None

(9) Consequences of Not Funding:

The institutional enhancement item currently funds faculty salaries and academic support services that include counseling and career services, disability services and science curriculum and outreach coordinators. If the reduction is not restored, we would have to eliminate four positions that support academic student support services.